# EQUIVARIANT \*-HOMOMORPHISMS, ROKHLIN CONSTRAINTS AND EQUIVARIANT UHF-ABSORPTION

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ABSTRACT. We classify equivariant \*-homomorphisms between C\*-dynamical systems associated to actions of finite groups with the Rokhlin property. In addition, the given actions are classified. An obstruction is obtained for the Cuntz semigroup of a C\*-algebra allowing such an action. We also obtain an equivariant UHF-absorption result.

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# 1. Introduction

Classification is a major subject in all areas of mathematics and has attracted the attention of many talented mathematicians. In the category of C\*-algebras, the program of classifying all amenable C\*-algebras was initiated by Elliott, first with the classification of AF-algebras, and later with the classification of certain simple C\*-algebras of real rank zero. His work was followed by many other classification results for nuclear C\*-algebras, both in the stably finite and the purely infinite case.

The classification theory for von Neumann algebras precedes the classification program initiated by Elliott. In fact, the classification of amenable von Neumann algebras with separable pre-dual, which is due to Connes, Haagerup, Krieger and Takesaki, was completed more than 30 years ago. Connes moreover classified automorphisms of the type  $II_1$  factor up to cocycle conjugacy in [7]. This can be regarded as the first classification result for actions on von Neumann algebras, and it was followed by his own work on the classification of pointwise outer actions of amenable groups on von Neumann algebras in [8].

Several people have since then tried to obtain similar classification results for actions on C\*-algebras. Early results in this direction include the work of Herman and Ocneanu in [18] on integer actions with the Rokhlin property on UHF-algebras, the work of Fack and Maréchal in [14] and [15] for cyclic groups actions on UHF-algebras, and the work of Handelman and Rossmann [17] for locally representable

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compact group actions on AF-algebras. Other results have been obtained by Elliott and Su in [13] for direct limit actions of  $\mathbb{Z}_2$  on AF-algebras, and by Izumi in [20] and [21], where he proved a number of classification results for actions of finite groups on arbitrary unital separable C\*-algebras with the Rokhlin property, as well as for approximately representable actions. The classification result of Izumi regarding actions with the Rokhlin property has been extended recently by Nawata in [24] to cover actions on certain not-necessarily unital separable C\*-algebras (specifically for algebras A such that  $A \subseteq \overline{\mathrm{GL}(\widetilde{A})}$ ). It should be emphasized that the classification of group actions on C\*-algebras is a far less developed subject than the classification of C\*-algebras and even farther less developed than the classification of group actions on von Neumann algebras.

In this paper we extend the classification results of Izumi and Nawata of finite group actions on C\*-algebras with the Rokhlin property to actions of finite groups with the Rokhlin property on arbitrary separable C\*-algebras. This is done by first obtaining a classification result for equivariant \*-homomorphism between C\*-dynamical systems associated to actions of finite groups with the Rokhlin property, and then applying Elliott's intertwining argument. In this paper we also obtain obstructions on the Cuntz semigroup, the Murray-von Neumann semigroup, and the K-groups of a C\*-algebra allowing an action of a finite group with the Rokhlin property. These results are used together with the classification result of actions to obtain an equivariant UHF-absorption result.

This paper is organized as follows. In Section 2, we collect a number of definitions and results that will be used throughout the paper. In Section 3, we give an abstract classification for equivariant \*-homomorphism between C\*-dynamical systems associated to actions of finite groups with the Rokhlin property, as well as, a classification for the given actions. These abstract classification results are used together with known classification results of C\*-algebras to obtain specific classification of equivariant \*-homomorphisms and actions of finite groups on C\*-algebras that can be written as inductive limits of 1-dimensional NCCW-complexes with trivial  $K_1$ -groups and for unital simple AH-algebras of no dimension growth.

In Section 4, we obtain obstructions on the Cuntz semigroup, the Murray-von Neumann semigroup, and the  $K_*$ -groups of a C\*-algebra allowing an action of a finite group with the Rokhlin property. Then using the Cuntz semigroup obstruction we show that the Cuntz semigroup of a C\*-algebra that admits an action of finite group with the Rokhlin property has certain divisibility property. In this section we also compute the Cuntz semigroup, the Murray-von Neumann semigroup, and the  $K_*$ -groups of the fixed-point and crossed product C\*-algebras associated to an action of a finite group with the Rokhlin property.

In Section 5, we obtain divisibility results for the Cuntz semigroup of certain classes of C\*-algebras and use this together with the classification results for actions obtained in Section 3 to prove an equivariant UHF-absorbing result.

#### 2. Preliminary definitions and results

Let A be a C\*-algebra. We denote by M(A) its multiplier algebra, by  $\widehat{A}$  its unitization (that is, the C\*-algebra obtained by adjoining a unit to A, even if A is unital). If A is unital, we denote by U(A) its unitary group. We denote by Aut(A) the automorphism group of A. The identity map of A is denoted  $Id_A$ .

Topological groups are always assumed to be Hausdorff. If G is a locally compact group and A is a  $C^*$ -algebra, then an action of G on A is a strongly continuous group homomorphism  $\alpha \colon G \to \operatorname{Aut}(A)$ . Strong continuity for  $\alpha$  means that for each a in A, the map from G to A given by  $g \mapsto \alpha_g(a)$  is continuous with respect to the norm topology on A.

We denote by K the C\*-algebra of compact operators on a separable Hilbert space. We take  $\mathbb{N} = \{1, 2, ...\}, \mathbb{Z}_{+} = \{0, 1, 2, ...\}, \text{ and } \overline{\mathbb{Z}_{+}} = \mathbb{Z}_{+} \cup \{\infty\}.$ 

2.1. The Rokhlin property for finite group actions. Let us briefly recall the definition of the Rokhlin property, in the sense of [32, Definition 2], for actions of finite groups on (not necessarily unital) C\*-algebras. Actions with the Rokhlin property are the main object of study of this work.

**Definition 2.1.** Let A be a C\*-algebra and let  $\alpha: G \to \operatorname{Aut}(A)$  be an action of a finite group G on A. We say that  $\alpha$  has the Rokhlin property if for any  $\varepsilon > 0$  and any finite subset  $F\subseteq A$  there exist mutually orthogonal positive contractions  $r_g$  in A, for  $g \in G$ , such that

- $\begin{array}{ll} \text{(i)} & \|\alpha_g(r_h) r_{gh}\| < \varepsilon \text{ for all } g,h \in G;\\ \text{(ii)} & \|r_g a a r_g\| < \varepsilon \text{ for all } a \in F \text{ and all } g \in G;\\ \text{(iii)} & \|(\sum_{g \in G} r_g) a a\| < \varepsilon \text{ for all } a \in F. \end{array}$

The elements  $r_g$ , for  $g \in G$ , will be called *Rokhlin elements* for  $\alpha$  for the choices of  $\varepsilon$  and F.

It was shown in [32, Corollary 1] that Definition 2.1 agrees with [20, Definition 3.1] whenever the C\*-algebra A is unital. It is also shown in [32, Corollary 2] that Definition 2.1 agrees with [24, Definition 3.1] whenever the  $C^*$ -algebra A is separable.

If A is a C\*-algebra, we denote by  $\ell^{\infty}(\mathbb{N}, A)$  the set of all bounded sequences  $(a_n)_{n\in\mathbb{N}}$  in A with the supremum norm  $\|(a_n)_{n\in\mathbb{N}}\|=\sup_{n\in\mathbb{N}}\|a_n\|$ , and pointwise oper-

ations. Then  $\ell^{\infty}(\mathbb{N}, A)$  is a C\*-algebra, and it is unital when A is (the unit being the constant sequence  $1_A$ ). Let

$$c_0(\mathbb{N}, A) = \left\{ (a_n)_{n \in \mathbb{N}} \in \ell^{\infty}(\mathbb{N}, A) : \lim_{n \to \infty} ||a_n|| = 0 \right\}.$$

Then  $c_0(\mathbb{N}, A)$  is an ideal in  $\ell^{\infty}(\mathbb{N}, A)$ , and we denote the quotient

$$\ell^{\infty}(\mathbb{N},A)/c_0(\mathbb{N},A)$$

by  $A^{\infty}$ , which we call the *sequence algebra* of A.

Write  $\pi_A : \ell^{\infty}(\mathbb{N}, A) \to A^{\infty}$  for the quotient map, and identify A with the subalgebra of  $\ell^{\infty}(\mathbb{N},A)$  consisting of the constant sequences, and with the subalgebra of  $A^{\infty}$  by taking its image under  $\pi_A$ . We write  $A_{\infty} = A^{\infty} \cap A'$  for the relative commutant of A inside of  $A^{\infty}$ , and call it the central sequence algebra of A.

Let G be a finite group and let  $\alpha \colon G \to \operatorname{Aut}(A)$  be an action of G on A. Then there are actions of G on  $A^{\infty}$  and  $A_{\infty}$  which, for simplicity and ease of notation, and unless confusion is likely to arise, we denote simply by  $\alpha$ .

The following is a characterization of the Rokhlin property in terms of elements of the sequence algebra  $A^{\infty}$  ([32, Proposition 1]):

**Lemma 2.1.** Let A be a C\*-algebra and let  $\alpha: G \to \operatorname{Aut}(A)$  be an action of a finite group G on A. Then the following are equivalent:

(i)  $\alpha$  has the Rokhlin property.

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- (ii) For any finite subset  $F \subseteq A$  there exist mutually orthogonal positive contractions  $r_g$  in  $A^{\infty} \cap F'$ , for  $g \in G$ , such that
  - (a)  $\alpha_g(r_h) = r_{gh}$  for all  $g, h \in G$ ;
  - (b)  $(\sum_{g \in G} r_g)b = b$  for all  $b \in F$ .
- (iii) For any separable C\*-subalgebra  $B\subseteq A$  there are orthogonal positive contractions  $r_g$  in  $A^{\infty} \cap B'$  for  $g \in G$  such that
  - (a)  $\alpha_g(r_h) = r_{gh}$  for all  $g, h \in G$ ;
  - (b)  $(\sum_{g \in G} r_g)b = b$  for all  $b \in B$ .

The first part of the following proposition is [32, Theorem 2 (i)]. The second part follows trivially from the definition of the Rokhlin property.

**Proposition 2.1.** Let G be a finite group, let A be a C\*-algebra, and let  $\alpha \colon G \to A$ Aut(A) be an action with the Rokhlin property.

(i) If B is any C\*-algebra and  $\beta \colon G \to \operatorname{Aut}(B)$  is any action of G on B, then the action

$$\alpha \otimes \beta \colon G \to \operatorname{Aut}(A \otimes_{\min} B)$$

defined by  $(\alpha \otimes \beta)_g = \alpha_g \otimes \beta_g$  for all  $g \in G$ , has the Rokhlin property. (ii) If B is a C\*-algebra and  $\varphi \colon A \to B$  is an isomorphism, then the action  $g \mapsto \varphi \circ \alpha_q \circ \varphi^{-1}$  of G on B has the Rokhlin property.

The following example may be regarded as the "generating" Rokhlin action for a given finite group G. For some classes of  $C^*$ -algebras, it can be shown that every action of G with the Rokhlin property tensorially absorbs the action we construct below. See [21, Theorems 3.4 and 3.5] and Theorem 5.2 below.

**Example 2.1.** Let G be a finite group. Let  $\lambda \colon G \to U(\ell^2(G))$  be the left regular representation, and identify  $\ell^2(G)$  with  $\mathbb{C}^{|G|}$ . Define an action  $\mu^G \colon G \to \mathbb{C}^{|G|}$  $\operatorname{Aut}(\mathcal{M}_{|G|^{\infty}})$  by

$$\mu_g^G = \bigotimes_{n=1}^{\infty} \operatorname{Ad}(\lambda_g)$$

for all  $g \in G$ . It is easy to check that  $\alpha$  has the Rokhlin property. Note that  $\mu_q^G$  is approximately inner for all  $g \in G$ .

It follows from part (i) of Proposition 2.1 that any action of the form  $\alpha \otimes \mu^G$  has the Rokhlin property. One of our main results, Theorem 5.2, states that in some circumstances, every action with the Rokhlin property has this form.

- 2.2. The category Cu, the Cuntz semigroup, and the Cu~-semigroup. In this subsection, we will recall the definitions of the Cuntz and Cu<sup>~</sup> semigroups, as well as the category Cu, to which these semigroups naturally belong.
- 2.2.1. The category Cu. Let S be an ordered semigroup and let  $s,t \in S$ . We say that s is compactly contained in t, and denote this by  $s \ll t$ , if whenever  $(t_n)_{n \in \mathbb{N}}$ is an increasing sequence in S such that  $t \leq \sup t_n$ , there exists  $k \in \mathbb{N}$  such that

 $s \leq t_k$ . A sequence  $(s_n)_{n \in \mathbb{N}}$  is said to be rapidly increasing if  $s_n \ll s_{n+1}$  for all  $n \in \mathbb{N}$ .

**Definition 2.2.** An ordered abelian semigroup S is an object in the category Cu if it has a zero element and it satisfies the following properties:

(O1) Every increasing sequence in S has a supremum;

- (O2) For every  $s \in S$  there exists a rapidly increasing sequence  $(s_n)_{n \in \mathbb{N}}$  in S such that  $s = \sup s_n$ .
- (O3) If  $(s_n)_{n\in\mathbb{N}}$  and  $(t_n)_{n\in\mathbb{N}}$  are increasing sequences in S, then

$$\sup_{n\in\mathbb{N}} s_n + \sup_{n\in\mathbb{N}} t_n = \sup_{n\in\mathbb{N}} (s_n + t_n);$$

(O4) If  $s_1, s_2, t_1, t_2 \in S$  are such that  $s_1 \ll t_1$  and  $s_2 \ll t_2$ , then  $s_1 + s_2 \ll t_1 + t_2$ . Let S and T be semigroups in the category Cu. An order-preserving semigroup map  $\varphi \colon S \to T$  is a morphism in the category  $\mathbf{C}\mathbf{u}$  if it preserves the zero element and it satisfies the following properties:

(M1) If  $(s_n)_{n\in\mathbb{N}}$  is an increasing sequence in S, then

$$\varphi\left(\sup_{n\in\mathbb{N}}s_n\right) = \sup_{n\in\mathbb{N}}\varphi(s_n);$$

(M2) If  $s, t \in S$  are such that  $s \ll t$ , then  $\varphi(s) \ll \varphi(t)$ .

It is shown in [9, Theorem 2] that the category Cu is closed under sequential inductive limits. The following description of inductive limits in the category Cu follows from the proof of this theorem.

**Proposition 2.2.** Let  $(S_n, \varphi_n)_{n \in \mathbb{N}}$ , with  $\varphi_n \colon S_n \to S_{n+1}$ , be an inductive system in the category Cu. For  $m, n \in \mathbb{N}$  with  $m \geq n$ , let  $\varphi_{n,m} \colon S_n \to S_{m+1}$  denote the composition  $\varphi_{n,m} = \varphi_m \circ \cdots \circ \varphi_n$ . A pair  $(S, (\varphi_{n,\infty})_{n \in \mathbb{N}})$ , consisting of a semigroup S and morphisms  $\varphi_{n,\infty} \colon S_n \to S$  in the category **Cu** satisfying  $\varphi_{n+1,\infty} \circ \varphi_n = \varphi_{n,\infty}$ for all  $n \in \mathbb{N}$ , is the inductive limit of the system  $(S_n, \varphi_n)_{n \in \mathbb{N}}$  if and only if:

(i) For every  $s \in S$  there exist elements  $s_n \in S_n$  for  $n \in \mathbb{N}$ , such that  $\varphi_n(s_n) \ll S_n$  $s_{n+1}$  for all  $n \in \mathbb{N}$  and

$$s = \sup_{n \in \mathbb{N}} \varphi_{n,\infty}(s_n);$$

(ii) Whenever  $s, s', t \in S_n$  satisfy  $\varphi_{n,\infty}(s) \leq \varphi_{n,\infty}(t)$  and  $s' \ll s$ , there exists  $m \geq n$  such that  $\varphi_{n,m}(s') \leq \varphi_{n,m}(t)$ .

**Lemma 2.2.** Let S be a semigroup in Cu, let s be an element in S and let  $(s_n)_{n\in\mathbb{N}}$ be a rapidly increasing sequence in S such that  $s = \sup_{S} s_n$ . Let T be a subset of S such that every element of T is the supremum of a rapidly increasing sequence of elements in T. Suppose that for every  $n \in \mathbb{N}$  there is  $t \in T$  such that  $s_n \ll t \leq s$ . Then there exists an increasing sequence  $(t_n)_{n\in\mathbb{N}}$  in T such that  $s=\sup t_n$ .

*Proof.* It is sufficient to construct an increasing sequence  $(n_k)_{k\in\mathbb{N}}$  of natural numbers and a sequence  $(t_k)_{k\in\mathbb{N}}$  in T such that  $s_{n_k}\leq t_k\leq s_{n_{k+1}}$  for all  $k\in\mathbb{N}$ , since this implies that  $s = \sup t_k$ .

For k = 1, set  $n_1 = 1$  and  $s_{n_1} = 0$ . Assume inductively that we have constructed  $n_j$  and  $t_j$  for all  $j \leq k$  and let us construct  $n_{k+1}$  and  $t_{k+1}$ . By the assumptions of the lemma, there exists  $t \in T$  such that  $s_{n_k} \ll t \leq s$ . Also by assumption, t is the supremum of a rapidly increasing sequence of elements of T. Hence there exists with  $n_{k+1} > n_k$  such that  $t' \ll s$ . Use that  $s = \sup_{n \in \mathbb{N}} s_n$  and  $t' \ll s$ , to choose  $n_{k+1} \in \mathbb{N}$  with  $n_{k+1} > n_k$  such that  $t' \leq s_{n_{k+1}} \ll s$ . Set  $t_{k+1} = t'$ . Then  $s_{n_k} \leq t_{k+1} \leq s_{n_{k+1}}$ .

This completes the proof of the lemma.

**Definition 2.3.** Let S be a semigroup in the category Cu. Let I be a nonempty set and let  $\gamma_i \colon S \to S$  for  $i \in I$ , be a family of endomorphisms of S in the category Cu. We introduce the following notation:

$$S^{\gamma} = \left\{ s \in S : \exists \ (s_t)_{t \in (0,1]} \text{ in } S : \begin{cases} s_r \ll s_t \text{ if } r < t, \ s_t = \sup_{r < t} s_r \ \forall \ t \in (0,1], \\ s_1 = s, \text{ and } \gamma_i(s_t) = s_t \ \forall \ t \in (0,1] \text{ and } \forall \ i \in I \end{cases} \right\},$$

and

$$S_{\mathbb{N}}^{\gamma} = \left\{ s \in S \colon \exists \ (s_n)_{n \in \mathbb{N}} \text{ in } S \colon \begin{cases} s_n \ll s_{n+1} \ \forall \ n \in \mathbb{N}, \ s = \sup_{n \in \mathbb{N}} s_n, \\ \text{and } \gamma_i(s_n) = s_n \ \forall \ n \in \mathbb{N} \text{ and } \forall \ i \in I \end{cases} \right\}.$$

**Lemma 2.3.** Let S be a semigroup in the category  $\mathbf{Cu}$ . Let I be a nonempty set and let  $\gamma_i : S \to S$  for  $i \in I$ , be a family of endomorphisms of S in the category Cu. Then

- (i)  $S^{\gamma}_{\mathbb{N}}$  is closed under suprema of increasing sequences; (ii)  $S^{\gamma}$  is an object in  $\mathbf{Cu}$ .

*Proof.* (i). Let  $(s_n)_{n\in\mathbb{N}}$  be an increasing sequence in  $S_{\mathbb{N}}^{\gamma}$ . For each  $n\in\mathbb{N}$ , choose a rapidly increasing sequence  $(s_{n,m})_{m\in\mathbb{N}}$  in S such that  $s_n=\sup_{m\in\mathbb{N}}s_{n,m}$  and  $\gamma_i(s_{n,m})=\sup_{m\in\mathbb{N}}s_{m,m}$ 

 $s_{n,m}$  for all  $i \in I$  and  $m \in \mathbb{N}$ . By the definition of the compact containment relation, there exist increasing sequences  $(n_j)_{j\in\mathbb{N}}$  and  $(m_j)_{j\in\mathbb{N}}$  in  $\mathbb{N}$  such that  $s_{k,l}\leq s_{n_j,m_j}$ whenever  $1 \leq k, l \leq j$ , and such that  $(s_{n_j,m_j})_{j\in\mathbb{N}}$  is increasing. Let s be the supremum of  $(s_{n_j,m_j})_{j\in\mathbb{N}}$  in S. Then  $s\in S_{\mathbb{N}}^{\gamma}$ , and it is straightforward to check, using a diagonal argument, that  $s=\sup_{n\in\mathbb{N}}s_n$ , as desired.

(ii). It is clear that  $S^{\gamma}$  satisfies O2, O3 and O4. Now let us check that  $S^{\gamma}$ satisfies axiom O1. Let  $(s^{(n)})_{n\in\mathbb{N}}$  be an increasing sequence in  $S^{\gamma}$  and let s be its supremum in S. It is sufficient to show that  $s \in S^{\gamma}$ .

For each  $n \in \mathbb{N}$ , choose a path  $(s_t^{(n)})_{t \in (0,1]}$  as in the definition of  $S^{\gamma}$  for  $s^{(n)}$ . Using that  $s_t^{(n)} \ll s^{(n+1)}$  for all  $n \in \mathbb{N}$  and all  $t \in (0,1)$ , together with a diagonal argument, choose an increasing sequence  $(t_n)_{n\in\mathbb{N}}$  in (0,1] converging to 1, such that

$$s_{t_n}^{(n)} \ll s_{t_{n+1}}^{(n+1)} \quad \forall \ n \in \mathbb{N}, \ \mathrm{and} \ s = \sup_{n \in \mathbb{N}} s_{t_n}^{(n)}.$$

This implies, using the definition of the compact containment relation, that for each  $n \in \mathbb{N}$  there exists  $t'_{n+1}$  such that  $t_n < t'_{n+1} < t_{n+1}$  and

$$s_{t_n}^{(n)} \ll s_t^{(n+1)} \leq s_{t_{n+1}}^{(n+1)} \text{ for all } t \in (t_{n+1}', t_{n+1}].$$

Choose an increasing function  $f:(0,1]\to(0,1]$  such that

$$f\left(\left(1 - \frac{1}{n}, 1 - \frac{1}{n+1}\right]\right) = (t'_{n+1}, t_{n+1}]$$

for all  $n \in \mathbb{N}$ . Define a path  $(s_t)_{t \in (0,1]}$  in S by taking  $s_1 = s$  and

$$s_t = s_{f(t)}^{(n+1)} \text{ for } t \in \left(1 - \frac{1}{n}, 1 - \frac{1}{n+1}\right].$$

Then  $\gamma_i(s_t) = s_t$  for all  $t \in (0,1]$  and all  $i \in I$ , so  $s \in S^{\gamma}$ . It is clear that this path satisfies the conditions in the definition of  $S^{\gamma}$  for s.

2.2.2. The Cuntz semigroup. Let A be a C\*-algebra and let  $a, b \in A$  be positive elements. We say that a is Cuntz subequivalent to b, and denote this by  $a \lesssim b$ , if there exists a sequence  $(d_n)_{n\in\mathbb{N}}$  in A such that  $\lim_{n\to\infty} ||d_n^*bd_n - a|| = 0$ . We say that a is Cuntz equivalent to b, and denote this by  $a \sim b$ , if  $a \lesssim b$  and  $b \lesssim a$ . It is clear that  $\preceq$  is a preorder relation in the set of positive elements of A, and thus  $\sim$  is an equivalence relation. We denote by [a] the Cuntz equivalence class of the element  $a \in A_+$ .

The first conclusion of the following lemma was proved in [30, Proposition 2.2] (see also [23, Lemma 2.2]). The second statement was shown in [29, Lemma 1].

**Lemma 2.4.** Let A be a  $C^*$ -algebra and let a and b be positive elements in A such that  $||a-b|| < \varepsilon$ . Then  $(a-\varepsilon)_+ \lesssim b$ . More generally, if r is a non-negative real number, then  $(a-r-\varepsilon)_+ \lesssim (b-r)_+$ .

The Cuntz semigroup of A, denoted by Cu(A), is defined as the set of Cuntz equivalence classes of positive elements of  $A \otimes \mathcal{K}$ . Addition in Cu(A) is given by

$$[a] + [b] = [a' + b'],$$

where  $a', b' \in (A \otimes \mathcal{K})_+$  are orthogonal and satisfy  $a' \sim a$  and  $b' \sim b$ . Furthermore, Cu(A) becomes an ordered semigroup when equipped with the order  $[a] \leq [b]$  if  $a \lesssim b$ . If  $\phi: A \to B$  is a \*-homomorphism, then  $\phi$  induces an order-preserving map  $Cu(\phi)$ :  $Cu(A) \to Cu(B)$ , given by  $Cu(\phi)([a]) = [(\phi \otimes id_{\mathcal{K}})(a)]$  for every  $a \in$  $(A \otimes \mathcal{K})_+$ .

**Remark 2.1.** Let A be a C\*-algebra, let  $a \in A$  and let  $\varepsilon > 0$ . It can be checked that  $[(a-\varepsilon)_+] \ll [a]$  and that  $[a] = \sup_{\varepsilon>0} [(a-\varepsilon)_+]$ , thus showing that  $\mathrm{Cu}(A)$  satisfies Axiom O2.

It is shown in [9, Theorem 1] that Cu is a functor from the category of C\*algebras to the category Cu.

**Lemma 2.5.** Let A and B be C\*-algebras and let  $\rho: Cu(A) \to Cu(B)$  be an order-preserving semigroup map. Suppose that for all  $a \in (A \otimes \mathcal{K})_+$  one has

(i) 
$$\rho([a]) = \sup_{\epsilon > 0} \rho([(a - \varepsilon)_+])$$

Then  $\rho$  is a morphism in the category Cu; that is, it preserves suprema of increasing sequences and the compact containment relation.

*Proof.* We show first that  $\rho$  preserves suprema of increasing sequences. Let a be a positive element in  $A \otimes \mathcal{K}$  and let  $(a_n)_{n \in \mathbb{N}}$  be an increasing sequence of positive elements in  $A \otimes \mathcal{K}$  such that  $\sup[a_n] = [a]$ . Then  $\rho([a_n]) \leq \rho([a])$  for all  $n \in \mathbb{N}$ .

Suppose that  $b \in (B \otimes \mathcal{K})_+$  is such that  $\rho([a_n]) \leq [b]$  for all  $n \in \mathbb{N}$  and let  $\varepsilon > 0$ . By the definition of the compact containment relation and the fact that  $[(a-\varepsilon)_+] \ll [a]$ , there exists  $n_0 \in \mathbb{N}$  such that  $[(a-\varepsilon)_+] \leq [a_{n_0}]$ . By applying  $\rho$  to this inequality we get

$$\rho([(a-\varepsilon)_+]) \le \rho([a_{n_0}]) \le [b].$$

By taking supremum in  $\varepsilon > 0$  and applying (i) we get

$$\rho([a]) = \sup_{\varepsilon > 0} \rho([(a - \varepsilon)_+]) \le [b].$$

This shows that  $\rho([a])$  is the supremum of  $(\rho([a_n]))_{n\in\mathbb{N}}$ , as desired.

We proceed to show that  $\rho$  preserves the compact containment relation. Let a and b be positive elements in  $A \otimes \mathcal{K}$  such that  $[a] \ll [b]$ . Choose  $\varepsilon > 0$  such that  $[a] \leq [(b-\varepsilon)_+] \leq [b]$ . It follows that

$$\rho([a]) \le \rho([(b-\varepsilon)_+]) \le \rho([b]).$$

By (ii) applied to [b] we get  $\rho([a]) \ll \rho([b])$ , which concludes the proof.

The following lemma is a restatement of [29, Lemma 4].

**Lemma 2.6.** Let A be a C\*-algebra, let  $(x_i)_{i=0}^n$  be elements of  $\mathrm{Cu}(A)$  such that  $x_{i+1} \ll x_i$  for all  $i = 0, \ldots, n$ , and let  $\varepsilon > 0$ . Then there exists  $a \in (A \otimes \mathcal{K})_+$  such that

$$x_n \ll [(a - (n-1)\varepsilon)_+] \ll x_{n-1} \ll [(a - (n-2)\varepsilon)_+] \ll \cdots$$
  
  $\cdots \ll x_3 \ll [(a - 2\varepsilon)_+] \ll x_2 \ll [(a - \varepsilon)_+] \ll x_1 \ll [a] = x_0.$ 

2.2.3. The  $\mathrm{Cu}^\sim$ -semigroup. Here we define the  $\mathrm{Cu}^\sim$ -semigroup of a C\*-algebra. This semigroup was introduced in [28] in order to classify certain inductive limits of 1-dimensional NCCW-complexes.

**Definition 2.4.** Let A be C\*-algebra and let  $\pi \colon \widetilde{A} \to \widetilde{A}/A \cong \mathbb{C}$  denote the quotient map. Then  $\pi$  induces a semigroup homomorphism

$$\operatorname{Cu}(\pi) \colon \operatorname{Cu}(\widetilde{A}) \to \operatorname{Cu}(\mathbb{C}) \cong \overline{\mathbb{Z}_+}.$$

We define the semigroup  $Cu^{\sim}(A)$  by

$$\operatorname{Cu}^{\sim}(A) = \{([a], n) \in \operatorname{Cu}(\widetilde{A}) \times \mathbb{Z}_+ \mid \operatorname{Cu}(\pi)([a]) = n\} / \sim,$$

where  $\sim$  is the equivalence relation defined by

$$([a], n) \sim ([b], m)$$
 if  $[a] + m[1] + k[1] = [b] + n[1] + k[1]$ ,

for some  $k \in \mathbb{N}$ . The image of the element ([a], n) under the canonical quotient map is denoted by [a] - n[1].

Addition in  $\operatorname{Cu}^{\sim}(A)$  is induced by pointwise addition in  $\operatorname{Cu}(\widetilde{A}) \times \mathbb{Z}_+$ . The semigroup  $\operatorname{Cu}^{\sim}(A)$  can be endowed with an order: we say that  $[a] - n[1] \leq [b] - m[1]$  in  $\operatorname{Cu}^{\sim}(A)$  if there exists k in  $\mathbb{Z}_+$  such that

$$[a] + (m+k)[1] \le [b] + (n+k)[1]$$

in  $Cu(\widetilde{A})$ .

The assignment  $A \mapsto \operatorname{Cu}^{\sim}(A)$  can be turned into a functor as follows. Let  $\phi \colon A \to B$  be a \*-homomorphism and let  $\widetilde{\phi} \colon \widetilde{A} \to \widetilde{B}$  denote the unital extension of  $\phi$  to the unitizations of A and B. Let us denote by  $\operatorname{Cu}^{\sim}(\phi) \colon \operatorname{Cu}^{\sim}(A) \to \operatorname{Cu}^{\sim}(B)$  the map defined by

$$Cu^{\sim}(\phi)([a] - n[1]) = Cu(\widetilde{\phi})([a]) - n[1].$$

It is clear that  $Cu^{\sim}(\phi)$  is order-preserving, and thus  $Cu^{\sim}$  becomes a functor from the category of C\*-algebras to the category of ordered semigroups.

It was shown in [28] that the  $Cu^{\sim}$ -semigroup of a C\*-algebra with stable rank one belongs to the category Cu, that  $Cu^{\sim}$  is a functor from the category of C\*-algebras of stable rank one to the category Cu, and that it preserves inductive limits of sequences.

# 3. Classification of actions and equivariant \*-homomorphisms

In this section we classify equivariant \*-homomorphisms whose codomain C\*-dynamical system have the Rokhlin property. We use this results to classify actions of finite groups on separable C\*-algebras with the Rokhlin property. Our results complement and extend those obtained by Izumi in [20] and [21] in the unital setting, and by Nawata in [24] for C\*-algebras A that satisfy  $A \subseteq \overline{\mathrm{GL}(\widetilde{A})}$ .

3.1. **Equivariant \*-homomorphisms.** Let A and B be C\*-algebras and let G be a compact group. Let  $\alpha \colon G \to \operatorname{Aut}(A)$  and  $\beta \colon G \to \operatorname{Aut}(B)$  be (strongly continuous) actions. Recall that a \*-homomorphism  $\phi \colon A \to B$  is said to be *equivariant* if  $\phi \circ \alpha_g = \beta_g \circ \phi$  for all  $g \in G$ .

**Definition 3.1.** Let A and B be C\*-algebras and let  $\alpha \colon G \to \operatorname{Aut}(A)$  and  $\beta \colon G \to \operatorname{Aut}(B)$  be actions of a compact group G. Let  $\phi, \psi \colon A \to B$  be equivariant \*-homomorphisms. We say that  $\phi$  and  $\psi$  are equivariantly approximately unitarily equivalent, and denote this by  $\phi \sim_{\mathbf{G}-\operatorname{au}} \psi$ , if for any finite subset  $F \subseteq A$  and for any  $\varepsilon > 0$  there exists a unitary  $u \in \widehat{B}^{\beta}$  such that

$$\|\phi(a) - u^*\psi(a)u\| < \varepsilon,$$

for all  $a \in F$ .

Note that when G is the trivial group, this definition agrees with the standard definition of approximate unitary equivalence of \*-homomorphisms. In this case we will omit the group G in the notation  $\sim_{G-au}$ , and write simply  $\sim_{au}$ .

The following lemma can be proved using a standard semiprojectivity argument. Its proof is left to the reader.

**Lemma 3.1.** Let A be a unital C\*-algebra and let u be a unitary in  $A_{\infty}$ . Given  $\varepsilon > 0$  and given a finite subset  $F \subseteq A$ , there exists a unitary  $v \in A$  such that  $||va - av|| < \varepsilon$  for all  $a \in F$ . If moreover A is separable, then there exists a sequence  $(u_n)_{n \in \mathbb{N}}$  of unitaries in A with

$$\lim_{n \to \infty} \|u_n a - a u_n\| = 0$$

for all  $a \in A$ , such that  $\pi_A((u_n)_{n \in \mathbb{N}}) = u$  in  $A_{\infty}$ .

**Proposition 3.1.** Let A and B be  $C^*$ -algebras and let  $\alpha \colon G \to \operatorname{Aut}(A)$  and  $\beta \colon G \to \operatorname{Aut}(B)$  be actions of a finite group G such that  $\beta$  has the Rokhlin property. Let  $\phi, \psi \colon (A, \alpha) \to (B, \beta)$  be equivariant \*-homomorphisms such that  $\phi \sim_{\operatorname{au}} \psi$ . Then  $\phi \sim_{G-\operatorname{au}} \psi$ .

*Proof.* Let F be a finite subset of A and let  $\varepsilon > 0$ . We have to show that there exists a unitary  $w \in \widetilde{B}^{\beta}$  such that

$$\|\phi(a) - w^*\psi(a)w\| < \varepsilon,$$

for all  $a \in F$ . Set  $F' = \bigcup_{g \in G} \alpha_g(F)$ , which is again a finite subset of A. Since

 $\phi \sim_{\text{au}} \psi$ , there exists a unitary  $u \in B$  such that

for all  $b \in F'$ . Choose  $x \in B$  and  $\lambda \in \mathbb{C}$  of modulus 1 such that  $u = x + \lambda 1_{\widetilde{B}}$ . Then equation (3.1) above is satisfied if one replaces u with  $\overline{\lambda}u$ . Thus, we may assume that the unitary u has the form  $u = x + 1_{\widetilde{B}}$  for some  $x \in B$ .

Fix  $g \in G$  and  $a \in F$ . Then  $b = \alpha_{g^{-1}}(a)$  belongs to F'. Using equation (3.1) and the fact that  $\phi$  and  $\psi$  are equivariant, we get

$$\|\beta_{q^{-1}}(\phi(a)) - u^*\beta_{q^{-1}}(\psi(a))u\| < \varepsilon.$$

By applying  $\beta_g$  to the inequality above, we conclude that

$$\|\phi(a) - \beta_q(u)^*\psi(a)\beta_q(u)\| < \varepsilon$$

for all  $a \in F$  and  $g \in G$ 

Choose positive orthogonal contractions  $(r_g)_{g\in G}\subseteq B_{\infty}$  as in the definition of the Rokhlin property for  $\beta$ , and set  $v=\sum_{g\in G}\beta_g(x)r_g+1_{\widetilde{B}}$ . Using that  $x_g+1_{\widetilde{B}}$  is a

unitary in  $\widetilde{B}$ , one checks that

$$v^*v = \sum_{g \in G} \left( \beta_g(x^*x) r_g^2 + \beta_g(x) r_g + \beta_g(x) r_g \right) + 1_{\widetilde{B}} = 1_{\widetilde{B}}.$$

Analogously, we have  $vv^* = 1_{\widetilde{B}}$ , and hence v is a unitary in  $\widetilde{B}$ . For every  $b \in B$ , we have

$$v^*bv = \sum_{g \in G} r_g \beta_g(u)^* b \beta_g(u).$$

Therefore,

$$\|\phi(a) - v^*\psi(a)v\| = \left\| \sum_{g \in G} r_g \phi(a) - \sum_{g \in G} r_g \beta_g(u)^*\psi(a)\beta_g(u) \right\| < \varepsilon,$$

for all  $a \in F$  (here we are considering  $\phi$  and  $\psi$  as maps from A to  $(\widetilde{B})^{\infty}$ , by composing them with the natural inclusion of B in  $(\widetilde{B})^{\infty}$ ). Since  $v = \sum_{g \in G} \beta_g(xr_e) + C$ 

 $1_{\widetilde{B}}$ , we have  $v \in (\widetilde{B^{\beta}})^{\infty} \subseteq (\widetilde{B})^{\infty}$ . By Lemma 3.1, we can choose a unitary  $w \in \widetilde{B^{\beta}}$  such that

$$\|\phi(a) - w^*\psi(a)w\| < \varepsilon,$$

for all  $a \in F$ , and the proof is finished.

**Lemma 3.2.** Let A and B be  $C^*$ -algebras and let  $\psi \colon A \to B$  be a \*-homomorphism. Suppose there exists a sequence  $(v_n)_{n \in \mathbb{N}}$  of unitaries in  $\widetilde{B}$  such that the sequence  $(v_n\phi(x)v_n^*)_{n\in\mathbb{N}}$  converges in B for all x in a dense subset of A. Then there exists a \*-homomorphism  $\psi \colon A \to B$  such that

$$\lim_{n \to \infty} v_n \phi(x) v_n^* = \psi(x)$$

for all  $x \in A$ .

*Proof.* Let

$$S = \{x \in A : (v_n \phi(x) v_n^*)_{n \in \mathbb{N}} \text{ converges in } B\} \subseteq A.$$

Then S is a dense \*-subalgebra of A. For each  $x \in S$ , denote by  $\psi_0(x)$  the limit of the sequence  $(v_n\phi(x)v_n^*)_{n\in\mathbb{N}}$ . The map  $\psi_0\colon S\to B$  is linear, multiplicative, preserves the adjoint operation, and is bounded by  $\|\phi\|$ , so it extends by continuity to a \*-homomorphism  $\psi\colon A\to B$ . Given  $a\in A$  and given  $\varepsilon>0$ , use density

of S in A to choose  $x \in S$  such that  $||a-x|| < \frac{\varepsilon}{3}$ . Choose  $N \in \mathbb{N}$  such that  $||v_N\phi(x)v_N^* - \psi(x)|| < \frac{\varepsilon}{3}$ . Then

$$\|\psi(a) - v_N \phi(a) v_N^*\| \le \|\psi(a - x)\| + \|\psi(x) - v_N \phi(x) v_N^*\| + \|v_N \phi(x) v_N^* - v_N \phi(a) v_N^*\|$$

$$< \frac{\varepsilon}{3} + \frac{\varepsilon}{3} + \frac{\varepsilon}{3} = \varepsilon,$$

It follows that  $\psi(a) = \lim_{n \to \infty} v_n \phi(a) v_n^*$  for all  $a \in A$ , as desired.

The unital case of the following proposition is [20, Lemma 5.1]. Our proof for arbitrary C\*-dynamical systems follows similar ideas.

**Proposition 3.2.** Let A and B be  $C^*$ -algebras and let  $\alpha \colon G \to \operatorname{Aut}(A)$  and  $\beta \colon G \to \operatorname{Aut}(B)$  be actions of a finite group G. Suppose that A is separable and that  $\beta$  has the Rokhlin property. Let  $\phi \colon A \to B$  be a \*-homomorphism such that  $\beta_g \circ \phi \sim_{\operatorname{au}} \phi \circ \alpha_g$  for all  $g \in G$ . Then:

- (i) For any  $\varepsilon > 0$  and for any finite set  $F \subseteq A$  there exists a unitary  $u \in \widetilde{B}$  such that
  - $\|(\beta_q \circ \operatorname{Ad}(w) \circ \phi)(x) (\operatorname{Ad}(w) \circ \phi \circ \alpha_q)(x)\| < \varepsilon, \quad \forall g \in G, \forall x \in F,$
- $(3.2) \quad \|(\operatorname{Ad}(w) \circ \phi)(x) \phi(x)\| < \varepsilon + \sup_{g \in G} \|(\beta_g \circ \phi \circ \alpha_{g^{-1}})(x) \phi(x)\|, \quad \forall x \in F.$ 
  - (ii) There exists an equivariant \*-homomorphism  $\psi \colon A \to B$  that is approximately unitarily equivalent to  $\phi$ .

*Proof.* (i) Let F be a finite subset of A and let  $\varepsilon > 0$ . Set  $F' = \bigcup_{g \in G} \alpha_g(F)$ , which is a finite subset of A. Since  $\beta_g \circ \phi \sim_{\text{au}} \phi \circ \alpha_g$  for all  $g \in G$ , there exist unitaries  $(u_g)_{g \in G} \subseteq \widetilde{B}$  such that

$$\|(\beta_g \circ \phi)(a) - (\operatorname{Ad}(u_g) \circ \phi \circ \alpha_g)(a)\| < \frac{\varepsilon}{2},$$

for all  $a \in F'$  and  $g \in G$ . Upon replacing  $u_g$  with a scalar multiple of it, one can assume that there are  $(x_g)_{g \in G} \subseteq B$  such that  $u_g = x_g + 1_{\widetilde{B}}$  for all  $g \in G$ . For  $a \in F$  and  $g, h \in G$ , we have

$$\begin{split} \|(\operatorname{Ad}(u_g) \circ \phi \circ \alpha_h)(a) - (\beta_h \circ \operatorname{Ad}(u_{h^{-1}g}) \circ \phi)(a)\| \\ &= \|(\operatorname{Ad}(u_g) \circ \phi \circ \alpha_g)(\alpha_{g^{-1}h}(a)) - (\beta_h \circ \operatorname{Ad}(u_{h^{-1}g}) \circ \phi \circ \alpha_{h^{-1}g})(\alpha_{g^{-1}h}(a))\| \\ &\leq \|(\operatorname{Ad}(u_g) \circ \phi \circ \alpha_g)(\alpha_{g^{-1}h}(a)) - (\beta_g \circ \phi)(\alpha_{g^{-1}h}(a))\| \\ &+ \|(\beta_g \circ \phi)(\alpha_{g^{-1}h}(x)) - (\beta_h \circ \operatorname{Ad}(u_{h^{-1}g}) \circ \phi \circ \alpha_{h^{-1}g})(\alpha_{g^{-1}h}(x))\| \\ &\leq \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon. \end{split}$$

Choose positive orthogonal contractions  $(r_g)_{g\in G}\subseteq B_{\infty}$  as in the definition of the Rokhlin property for  $\beta$ , and set

$$u = \sum_{g \in G} r_g x_g + 1_{\widetilde{B}} \in (\widetilde{B})^{\infty}.$$

Using that  $x_g + 1_{\widetilde{B}}$  is a unitary in  $\widetilde{B}$ , one checks that

$$u^*u = 1_{\widetilde{B}} + \sum_{g \in G} (r_g^2 x_g^* x_g + r_g x_g + r_g x_g^*) = 1_{\widetilde{B}}.$$

Analogously, one also checks that  $uu^* = 1_{\widetilde{B}}$ , thus showing that u is a unitary in  $(\widetilde{B})^{\infty}$ . The map  $\mathrm{Ad}(u)$  can be written in terms of the maps  $\mathrm{Ad}(u_g)$  and the contractions  $(r_g)_{g\in G}$ , as follows:

$$(\mathrm{Ad}(u))(x) = uxu^* = \sum_{g \in G} (u_g x u_g^*) r_g = \sum_{g \in G} (\mathrm{Ad}(u_g))(x) r_g,$$

for all  $x \in A$ . Now for  $a \in F$  and considering  $\phi$  as a map from A to  $(\widetilde{B})^{\infty}$  by composing it with the natural inclusion of B in  $(\widetilde{B})^{\infty}$ , we have the following identities

$$(\beta_h \circ \operatorname{Ad}(u) \circ \phi)(a) = \sum_{g \in G} r_{hg}(\beta_h \circ \operatorname{Ad}(u_g) \circ \phi)(a) = \sum_{g \in G} r_g(\beta_h \circ \operatorname{Ad}(u_{h^{-1}g}) \circ \phi)(a),$$

$$(\mathrm{Ad}(u) \circ \phi \circ \alpha_h)(a) = \sum_{g \in G} r_g(\mathrm{Ad}(u_g) \circ \phi \circ \alpha_h)(a).$$

Therefore,

$$\begin{split} \|(\beta_h \circ \operatorname{Ad}(u) \circ \phi)(a) - (\operatorname{Ad}(u) \circ \phi \circ \alpha_h)(a)\| \\ & \leq \sup_{g \in G} \|(\operatorname{Ad}(u_g) \circ \phi \circ \alpha_h)(a) - (\beta_h \circ \operatorname{Ad}(u_{h^{-1}g}) \circ \phi)(a)\| < \varepsilon. \end{split}$$

This in turn implies that

$$\begin{split} &\|(\operatorname{Ad}(u)\circ\phi)(a)-\phi(a)\| = \left\|\sum_{g\in G} r_g((\operatorname{Ad}(u_g)\circ\phi)(a)-\phi(a))\right\| \\ &\leq \sup_{g\in G} \|(\operatorname{Ad}(u_g)\circ\phi)(a)-\phi(a)\| \\ &\leq \sup_{g\in G} \left( \|(\operatorname{Ad}(u_g)\circ\phi\circ\alpha_g)(\alpha_{g^{-1}}(a))-(\beta_g\circ\phi)(\alpha_{g^{-1}}(a))\| + \|(\beta_g\circ\phi\circ\alpha_{g^{-1}})(a)-\phi(a)\|\right) \\ &\leq \varepsilon + \sup_{g\in G} \left\| (\beta_g\circ\phi\circ\alpha_{g^{-1}})(a)-\phi(a)\right\|. \end{split}$$

We have shown that the inequalities in (3.2) hold for a unitary  $u \in (B)^{\infty}$ . By Lemma 3.1, we can replace u with a unitary in  $w \in B$  in such a way that both inequalities still hold for w in place of u.

(ii) Let  $(F_n)_{n\in\mathbb{N}}$  be an increasing sequence of finite subsets of A whose union is dense in A. Upon replacing each  $F_n$  with  $\bigcup_{g\in G} \alpha_g(F_n)$ , we may assume that

 $\alpha_g(F_n) = F_n$  for all  $g \in G$  and  $n \in \mathbb{N}$ . Set  $\phi_1 = \phi$  and find a unitary  $u_1 \in \widetilde{B}$  such that the conclusion of the first part of the proposition is satisfied with  $\phi_1$  and  $\varepsilon = 1$ . Set  $\phi_2 = \operatorname{Ad}(u_1) \circ \phi_1$ , and find a unitary  $u_2 \in \widetilde{B}$  such that the conclusion of the first part of the proposition is satisfied with  $\phi_2$  and  $\varepsilon = \frac{1}{2}$ . Iterating this process, there exist \*-homomorphisms  $\phi_n \colon A \to B$  with  $\phi_1 = \phi$  and unitaries  $(u_n)_{n \in \mathbb{N}}$  in  $\widetilde{B}$  such that  $\phi_{n+1} = \operatorname{Ad}(u_n) \circ \phi_n$ , for all  $n \in \mathbb{N}$ , which moreover for all  $n \in \mathbb{N}$  satisfy

$$\|(\beta_g \circ \phi_n)(x) - (\phi_n \circ \alpha_g)(x)\| < \frac{1}{2^n}$$

for all  $g \in G$  and for all  $x \in F_n$ , and

$$\|\phi_{n+1}(x) - \phi_n(x)\| < \frac{3}{2^n}$$

for all  $x \in F_n$ . For each  $n \in \mathbb{N}$  set  $v_n = u_n \cdots u_1$ . Then the sequence of unitaries  $(v_n)_{n \in \mathbb{N}}$  in  $\widetilde{B}$  and the \*-homomorphism  $\phi \colon A \to B$  satisfy the hypotheses of Lemma 3.2, so it follows that the sequence  $(\phi_n)_{n \in \mathbb{N}}$  converges to a \*-homomorphism  $\psi \colon A \to B$  that satisfies  $\beta_g \circ \psi = \psi \circ \alpha_g$  for all  $g \in G$ ; that is,  $\psi$  is equivariant. Since each  $\phi_n$  is unitarily equivalent to  $\phi$ , we conclude that  $\phi$  and  $\psi$  are approximately unitarily equivalent.

3.2. Categories of C\*-dynamical systems and abstract classification. Let G be a second countable compact group and let  $\mathbf{A}$  denote the category of separable C\*-algebras. Let us denote by  $\mathbf{A}_G$  the category whose objects are G-C\*-dynamical systems  $(A, \alpha)$ , that is, A is a C\*-algebra and  $\alpha \colon G \to \operatorname{Aut}(A)$  is a strongly continuous action, and whose morphisms are equivariant \*-homomorphisms. We use the notation  $\phi \colon (A, \alpha) \to (B, \beta)$  to denote equivariant \*-homomorphisms  $\phi \colon A \to B$ . Approximate unitary equivalence of maps in this category is given in Definition 3.1.

If **B** is a subcategory of **A**, we denote by  $\mathbf{B}_G$  the full subcategory of  $\mathbf{A}_G$  whose objects are C\*-dynamical systems  $(A, \alpha)$  with A in **B**, and whose morphisms are given by

$$\operatorname{Hom}_{\mathbf{B}_G}((A,\alpha),(B,\beta)) = \operatorname{Hom}_{\mathbf{A}_G}((A,\alpha),(B,\beta)).$$

**Definition 3.2.** Let **B** be a subcategory of **A**. Let  $F: \mathbf{B}_G \to \mathbf{C}$  be a functor from the category  $\mathbf{B}_G$  to a category  $\mathbf{C}$ . We say that the functor F classifies homomorphisms if:

(a) For every pair of objects  $(A, \alpha)$  and  $(B, \beta)$  in  $\mathbf{B}_G$  and for every morphism

$$\lambda \colon \mathrm{F}(A, \alpha) \to \mathrm{F}(B, \beta)$$

in **C**, there exists a homomorphism  $\phi: (A, \alpha) \to (B, \beta)$  in  $\mathbf{B}_G$  such that  $F(\phi) = \lambda$ .

(b) For every pair of objects  $(A, \alpha)$  and  $(B, \beta)$  in  $\mathbf{B}_G$  and every pair of homomorphisms

$$\phi, \psi \colon (A, \alpha) \to (B, \beta),$$

one has  $F(\phi) = F(\psi)$  if and only if  $\phi \sim_{G-au} \psi$ .

We say that the functor F classifies isomorphisms if it satisfies (a) and (b) above for ismorphisms instead of homomorphisms (such a functor is a strong classifying functor in the sense of Elliott (see [11])).

Let  $C_1$  and  $C_2$  be two categories. Recall that a functor  $F: C_1 \to C_2$  is said to be sequentially continuous if whenever  $C = \varinjlim (C_n, \theta_n)$  in  $C_1$  for some sequential direct system  $(C_n, \theta_n)_{n \in \mathbb{N}}$  in  $C_1$ , then the inductive limit  $\varinjlim (F(C_n), F(\theta_n))$  exists in  $C_2$ , and one has

$$F(\underline{\lim}(C_n, \theta_n)) = \underline{\lim}(F(C_n), F(\theta_n)).$$

The following theorem is a consequence of [11, Theorem 3].

**Theorem 3.1.** Let G be a second countable compact group, let  $\mathbf{B}$  be a subcategory of  $\mathbf{A}$ , let  $\mathbf{B}_G$  be the associated category of  $C^*$ -dynamical systems, and let  $\mathbf{C}$  be a category in which inductive limits of sequences exist. Let  $F \colon \mathbf{B}_G \to \mathbf{C}$  be a sequentially continuous functor that classifies homomorphisms. Then F classifies isomorphisms.

Proof. Let us briefly see that the conditions of [11, Theorem 3] are satisfied for the category  $\mathbf{B}_G$ . First, using that the algebras in  $\mathbf{B}_G$  are separable and that the group is second countable we can see that the set of equivariant \*-homomorphisms between two C\*-algebras in  $\mathbf{B}_G$  is metrizable. Also, by taking the inner automorphisms of a C\*-dynamical systems in  $\mathbf{B}_G$  to be conjugation by unitaries in the unitization of the fixed point algebra of the given dynamical system, one can easily see that these automorphisms satisfy the conditions of [11, Theorem 3]. Finally note that the category  $\mathbf{D}$  whose objects are objects of  $\mathbf{C}$  of the form  $\mathbf{F}(A,\alpha)$  for some C\*-dynamical system  $(A,\alpha)$  in  $\mathbf{B}_G$ , and whose morphisms between two objects  $\mathbf{F}(A,\alpha)$  and  $\mathbf{F}(B,\alpha)$  are all the maps of the form  $\mathbf{F}(\phi)$  for some equivariant \*-homomorphism  $\phi\colon (A,\alpha)\to (B,\beta)$ , is just the classifying category of  $B_G$  (in the sense of [11]), since  $\mathbf{F}$  classifies homomorphisms by assumption. Therefore, by [11, Theorem 3] the functor  $\mathbf{F}$  is a strong classifying functor; in other words, it classifies \*-isomorphisms.

**Definition 3.3.** Let G be a compact group. Let  $\mathbf{C}$  be a category and let  $\mathbf{C}_G$  denote the category whose objects are pairs  $(C, \gamma)$ , where C is an object in  $\mathbf{C}$  and  $\gamma \colon G \to \operatorname{Aut}(C)$  is a group homomorphism, also called an action of G on C. (We do not require any kind of continuity for this action since C does not a priori have a topology.) The morphisms of  $\mathbf{C}_G$  consist of the morphisms of  $\mathbf{C}$  that are equivariant.

Let **B** be a subcategory of **A** and let  $\mathbf{B}_G$  be the associated category of C\*-dynamical systems. Let  $\mathbf{F} \colon \mathbf{B} \to \mathbf{C}$  be a functor. Then F induces a functor  $\mathbf{F}_G \colon \mathbf{B}_G \to \mathbf{C}_G$  as follows:

- (i) For an object  $(A, \alpha)$  in  $\mathbf{B}_G$ , define an action  $F(\alpha) \colon G \to \operatorname{Aut}(F(A))$  by  $(F(\alpha))_g = F(\alpha_g)$  for all  $g \in G$ . We then set  $F_G(A, \alpha) = (F(A), F(\alpha))$ ;
- (ii) For a morphism  $\phi \in \operatorname{Hom}_{\mathbf{B}_G}((A, \alpha), (B, \beta))$ , we set  $F_G(\phi) = F(\phi)$ .

If G is a finite group, we let  $\mathbf{RB}_G$  denote the subcategory of  $\mathbf{B}_G$  consisting of those  $C^*$ -dynamical systems  $(A, \alpha)$  in  $\mathbf{B}_G$  with the Rokhlin property.

The next theorem is a restatement, in the categorical setting, of Proposition 3.1 and Proposition 3.2 (ii).

**Theorem 3.2.** Let G be a finite group. Let  $\mathbf{B}$ ,  $\mathbf{B}_G$ ,  $\mathbf{RB}_G$ ,  $\mathbf{C}$ , and  $\mathbf{C}_G$  be as in Definition 3.3. Let  $\mathbf{F} \colon \mathbf{B} \to \mathbf{C}$  be a functor that classifies homomorphisms.

- (i) Let  $(A, \alpha)$  be an object in  $\mathbf{B}_G$  and let  $(B, \beta)$  be an object in  $\mathbf{RB}_G$ .
  - (a) For every morphism  $\gamma \colon (F(A), F(\alpha)) \to (F(B), F(\beta))$  in  $\mathbf{C}_G$ , there exists a morphism  $\phi \colon (A, \alpha) \to (B, \beta)$  in  $\mathbf{B}_G$  such that  $F_G(\phi) = \gamma$ .
  - (b) If  $\phi, \psi \colon (A, \alpha) \to (B, \beta)$  are morphisms in  $\mathbf{B}_G$  such that  $F_G(\phi) = F_G(\psi)$ , then  $\phi \sim_{G-\mathrm{au}} \psi$ .
- (ii) The restriction of the functor  $F_G$  to  $\mathbf{RB}_G$  classifies homomorphisms.

*Proof.* (i) Let  $(A, \alpha)$  be an object in  $\mathbf{B}_G$  and let  $(B, \beta)$  be an object in  $\mathbf{RB}_G$ .

(a) Let  $\gamma \colon (F(A), F(\alpha)) \to (F(B), F(\beta))$  be a morphism in  $\mathbf{C}_G$ . Using that  $F \colon \mathbf{B} \to \mathbf{C}$  classifies homomorphisms, choose a \*-homomorphism  $\psi \colon A \to B$  such that  $F(\psi) = \gamma$ . Note that

$$F(\psi \circ \alpha_q) = F(\psi) \circ F(\alpha_q) = F(\beta_q) \circ F(\psi) = F(\beta_q \circ \psi),$$

for all  $g \in G$ . Using again that F classifies homomorphisms, we conclude that  $\psi \circ \alpha_g$  and  $\beta_g \circ \psi$  are approximately unitarily equivalent for all  $g \in G$ . Therefore, by part

(ii) of Proposition 3.2 there exists an equivariant \*-homomorphism  $\phi: (A, \alpha) \to (B, \beta)$  such that  $\phi$  and  $\psi$  are approximately unitarily equivalent. Thus  $\phi$  is a morphism in  $\mathbf{B}_G$  and

$$F_G(\phi) = F(\phi) = F(\psi) = \gamma,$$

as desired.

(b) Let  $\phi, \psi \colon (A, \alpha) \to (B, \beta)$  be morphisms in  $\mathbf{B}_G$  such that  $\mathbf{F}_G(\phi) = \mathbf{F}_G(\psi)$ . Then  $\phi \sim_{\mathrm{au}} \psi$  because F classifies homomorphisms and F agrees with  $\mathbf{F}_G$  on morphisms. It then follows from Proposition 3.1 that  $\phi \sim_{G-\mathrm{au}} \psi$ .

**Lemma 3.3.** Let G be a compact group, let  $\Lambda$  be a directed set and let  $\mathbf{C}$  be a category where inductive limits over  $\Lambda$  exist. Let  $\mathbf{C}_G$  be the associated category as in Definition 3.3. Then:

- (i) Inductive limits over  $\Lambda$  exist in  $\mathbf{C}_G$ .
- (ii) If **D** is a category where inductive limits over  $\Lambda$  exist and  $F: \mathbf{C} \to \mathbf{D}$  is a functor that preserves direct limits over  $\Lambda$ , then the associated functor  $F_G: \mathbf{C}_G \to \mathbf{D}_G$  also preserves direct limits over  $\Lambda$ .

*Proof.* (i) Let  $((C_{\lambda}, \alpha_{\lambda})_{\lambda \in \Lambda}, (\gamma_{\lambda,\mu})_{\lambda,\mu \in \Lambda,\lambda < \mu})$  be a direct system in  $\mathbf{C}_G$  over  $\Lambda$ , where  $\gamma_{\lambda,\mu} \colon (C_{\lambda}, \alpha_{\lambda}) \to (C_{\mu}, \alpha_{\mu})$ , for  $\lambda < \mu$ , is a morphism in  $\mathbf{C}_G$ . Let  $(C, (\gamma_{\lambda,\infty})_{\lambda \in \Lambda})$ , with  $\gamma_{\lambda,\infty} \colon C_{\lambda} \to C$ , be its direct limit in the category  $\mathbf{C}$ . Then

$$(\gamma_{\mu,\infty} \circ \alpha_{\mu}(g)) \circ \gamma_{\lambda,\mu} = \gamma_{\lambda,\infty} \circ \alpha_{\lambda}(g)$$

for all  $\mu \in \Lambda$  with  $\lambda < \mu$ . Hence, by the universal property of the inductive limit  $(C, (\gamma_{\lambda,\infty})_{\lambda \in \Lambda})$ , there exists a unique **C**-morphism  $\alpha(g) \colon C \to C$  that satisfies  $\alpha(g) \circ \gamma_{\lambda,\infty} = \gamma_{\lambda,\infty} \circ \alpha_{\lambda}(g)$  for all  $\lambda \in \Lambda$ . Note that for  $g, h \in G$ , one has

$$(\alpha(g) \circ \alpha(h)) \circ \gamma_{\lambda,\infty} = \gamma_{\lambda,\infty} \circ \alpha_{\lambda}(g) \circ \alpha(h) = \gamma_{\lambda,\infty} \circ \alpha_{\lambda}(gh)$$

for all  $\lambda \in \Lambda$ . By uniqueness of the morphism  $\alpha(gh)$ , it follows that  $\alpha(g) \circ \alpha(h) = \alpha(gh)$  for all  $g, h \in G$ . This implies that  $\alpha(g)$  is an automorphism of C and that  $\alpha: G \to \operatorname{Aut}(C)$  is an action. Thus  $(C, \alpha)$  is an object in  $\mathbb{C}_G$ .

We claim that  $(C, \alpha)$  is the inductive limit of  $((C_{\lambda}, \alpha_{\lambda})_{\lambda \in \Lambda}, (\gamma_{\lambda,\mu})_{\lambda,\mu \in \Lambda, \lambda < \mu})$  in the category  $\mathbf{C}_{G}$ . For  $\lambda \in \Lambda$ , The map  $\gamma_{\lambda,\infty}$  is equivariant since  $\gamma_{\lambda,\infty} \circ \alpha_{\lambda}(g) = \alpha(g) \circ \gamma_{\lambda,\infty}$  for all  $g \in G$  and  $\lambda \in \Lambda$ . Let  $(D, \beta)$  be an object in  $\mathbf{C}_{G}$  and for  $\lambda \in \Lambda$ , let  $\rho_{\lambda} \colon (C_{\lambda}, \alpha_{\lambda}) \to (D, \beta)$  be an equivariant morphism. By the universal property of the inductive limit C, there exists a unique morphism  $\rho \colon C \to D$  satisfying  $\rho_{\lambda} = \rho \circ \gamma_{\lambda,\infty}$  for all  $\lambda \in \Lambda$ . We therefore have

$$(\beta(g)^{-1} \circ \rho \circ \alpha(g)) \circ \gamma_{\lambda,\infty} = \beta^{-1}(g) \circ \rho \circ \gamma_{\lambda,\infty} \circ \alpha_{\lambda}(g)$$
$$= \beta^{-1}(g) \circ \rho_{\lambda,\infty} \circ \alpha_{\lambda}(g)$$
$$= \rho_{\lambda,\infty},$$

for all  $g \in G$  and  $\lambda \in \Lambda$ . Hence by uniqueness of  $\rho$ , we conclude that

$$\beta^{-1}(g)\circ\rho\circ\alpha(g)=\rho$$

for all  $g \in G$ . In other words,  $\rho$  is equivariant. We have shown that  $(C, \alpha)$  has the universal property of the inductive limit in  $\mathbf{C}_G$ , thus proving the claim and part (i).

(ii) Let  $((C_{\lambda}, \alpha_{\lambda})_{\lambda \in \Lambda}, (\gamma_{\lambda,\mu})_{\lambda,\mu \in \Lambda,\lambda < \mu})$  be a direct system in  $\mathbf{C}_G$  and let  $(C,\alpha)$  be its inductive limit in  $\mathbf{C}_G$ , which exists by the first part of this lemma. We claim that  $(F(C), F(\alpha))$  is the inductive limit of

$$((F(C_{\lambda}), F(\alpha_{\lambda}))_{\lambda \in \Lambda}, (F(\gamma_{\lambda,\mu}))_{\lambda,\mu \in \Lambda, \lambda < \mu})$$

in the category  $\mathbf{D}_G$ . Let  $(D, \delta)$  be an object in  $\mathbf{D}_G$  and for  $\lambda \in \Lambda$ , let  $\rho_{\lambda} \colon (\mathrm{F}(C_{\lambda}), \mathrm{F}(\alpha_{\lambda})) \to (D, \delta)$  be an equivariant morphism satisfying  $\rho_{\mu} = \mathrm{F}(\gamma_{\lambda,\mu}) \circ \rho_{\lambda}$  for all  $\mu \in \Lambda$  with  $\lambda < \mu$ . Since F is continuous by assumption, we have

$$F(C) = \underline{\lim} ((F(C_{\lambda}))_{\lambda \in \Lambda}, (F(\gamma_{\lambda,\mu}))_{\lambda,\mu \in \Lambda, \lambda < \mu})$$

in **D**. By the universal property of the inductive limit F(C) in **D**, there exits a unique morphism  $\rho \colon F(C) \to D$  in the category **D** satisfying  $\rho \circ F(\gamma_{\lambda,\infty}) = \rho_{\lambda}$ . It follows that

$$(\delta(g)^{-1} \circ \rho \circ F(\alpha(g))) \circ F(\gamma_{\lambda,\infty}) = \delta(g)^{-1} \circ \rho_{\lambda} \circ F(\alpha_{\lambda}(g)) = \rho_{\lambda},$$

for all  $g \in G$  and  $\lambda \in \Lambda$ . By the uniqueness of the morphism  $\rho$ , we conclude that  $\delta(g)^{-1} \circ \rho \circ F(\alpha)(g) = \rho$  for all  $g \in G$ . That is,  $\rho \colon (F(C), F(\alpha)) \to (D, \delta)$  is equivariant. This shows that  $(F(C), F(\alpha))$  has the universal property of inductive limits in  $\mathbf{D}_G$ .

**Theorem 3.3.** Let G be a finite group, let  $\mathbf{B}$  be a subcategory of  $\mathbf{A}$ , and let  $\mathbf{C}$  be a category where inductive limits of sequences exist. Let  $\mathbf{B}_G$ ,  $\mathbf{R}\mathbf{B}_G$ , and  $\mathbf{C}_G$  be as in Definition 3.3. Let  $\mathbf{F} \colon \mathbf{B} \to \mathbf{C}$  be a sequentially continuous functor that classifies homomorphisms and let  $\mathbf{F}_G \colon \mathbf{B}_G \to \mathbf{C}_G$  be the associated functor as in Definition 3.3. Then the restriction of  $\mathbf{F}_G$  to  $\mathbf{R}\mathbf{B}_G$  classifies isomorphisms. In particular, if  $(A, \alpha)$  and  $(B, \beta)$  are C\*-dynamical systems in  $\mathbf{R}\mathbf{B}_G$ , then  $\alpha$  and  $\beta$  are conjugate if and only if there exists an isomorphism  $\rho \colon \mathbf{F}_G(A, \alpha) \to \mathbf{F}_G(B, \beta)$  in  $\mathbf{C}_G$ .

Proof. Since by Theorem 3.2 the restriction of the functor  $F_G$  to  $\mathbf{RB}_G$  classifies homomorphisms, it is sufficient to show that the conditions of Theorem 3.1 are satisfied. First note that sequential inductive limits exists in  $\mathbf{B}_G$  since G is finite and they exists in  $\mathbf{B}$  by assumption. Now by [32, Theorem 2 (v)] the same is true for  $\mathbf{RB}_G$ . Given that sequential inductive limits exist in the category  $\mathbf{C}$  and  $\mathbf{F} \colon \mathbf{B} \to \mathbf{C}$  is sequentially continuous, it follows from Lemma 3.3 applied to  $\Lambda = \mathbb{N}$  that sequential inductive limits exist in  $\mathbf{C}_G$  and the functor  $\mathbf{F}_G \colon \mathbf{B}_G \to \mathbf{C}_G$  is sequentially continuous. In particular, it follows that the restriction of  $\mathbf{F}_G$  to  $\mathbf{RB}_G$  is sequentially continuous. This shows that the conditions of Theorem 3.1 are met. The last statement of the theorem follows from the definition of a functor that classifies isomorphisms.

The following result was proved by Izumi in [20, Theorem 3.5] for unital C\*-algebras, and more recently by Nawata in [24, Theorem 3.5] for C\*-algebras with almost stable rank one (that is, C\*-algebras A such that  $A \subseteq \overline{\mathrm{GL}(\widetilde{A})}$ ).

**Theorem 3.4.** Let G be a finite group, let A be separable C\*-algebra and let  $\alpha$  and  $\beta$  be actions of G on A with the Rokhlin property. Assume that  $\alpha_g \sim_{\text{au}} \beta_g$  for all  $g \in G$ . Then there exists an approximately inner automorphism  $\psi$  of A such that  $\psi \circ \alpha_g = \beta_g \circ \psi$  for all  $g \in G$ .

*Proof.* Let  $\mathbf{C}$  be the category whose objects are separable C\*-algebras and whose morphisms are given by

$$\operatorname{Hom}(A, B) = \{ [\phi]_{\operatorname{au}} : \phi \colon A \to B \text{ is a *-homomorphism} \},$$

where  $[\phi]_{au}$  denotes the approximate unitary equivalence class of  $\phi$ . (It is easy to check that composition of maps is well defined in  $\mathbf{C}$ , and thus  $\mathbf{C}$  is indeed a category.) Let  $F \colon \mathbf{A} \to \mathbf{C}$  be the functor given by F(A) = A for any C\*-algebra A in  $\mathbf{A}$ , and  $F(\phi) = [\phi]_{au}$  for any \*-homomorphism  $\phi$  in  $\mathbf{A}$ . It is straightforward to check that sequential inductive limits exist in  $\mathbf{C}$  and that F is sequentially continuous. Moreover, by the construction of  $\mathbf{C}$  and F it is clear that F classifies \*-homomorphisms. Therefore, by Theorem 3.3 the restriction of the associated functor  $F_G$  to  $\mathbf{R}\mathbf{A}_G$  classifies isomorphisms.

Let A be a separable C\*-algebra (that is, a C\*-algebra in **A**), and let  $\alpha$  and  $\beta$  be as in the statement of the theorem. Since  $\alpha_g \sim_{\text{au}} \beta_q$  for all  $g \in G$ , we have

$$F(id_A) \circ F(\alpha_q) = F(id_A \circ \alpha_q) = F(\beta_q \circ id_A) = F(\beta_q) \circ F(id_A),$$

for all  $g \in G$ . In other words, the map  $[\mathrm{id}_A]_{\mathrm{au}}$  is equivariant. Also, note that this map is an automorphism. Therefore, it is an isomorphism in the category  $\mathbf{C}_G$ . Since by the previous discussion, the restriction of  $\mathbf{F}_G$  to  $\mathbf{R}\mathbf{A}_G$  classifies isomorphisms, it follows that that there exists an equivariant \*-automorphism  $\psi\colon (A,\alpha)\to (A,\beta)$  such that  $\mathbf{F}_G(\psi)=[\mathrm{id}_A]_{\mathrm{au}}$ . In particular,  $\alpha$  and  $\beta$  are conjugate. Using that  $\mathbf{F}(\psi)=\mathbf{F}_G(\psi)=[\mathrm{id}_A]_{\mathrm{au}}=\mathbf{F}(\mathrm{id}_A)$  and that  $\mathbf{F}$  classifies homomorphisms, we get that  $\psi\sim_{\mathrm{au}}\mathrm{id}_A$ . In other words,  $\psi$  is approximately inner.

**Remark 3.1.** In view of [24, Remark 3.6], it may be worth pointing out that one can directly modify the proof of [24, Lemma 3.4] to get rid of the assumption that A has almost stable rank one. Indeed, one just needs to replace the element w in the proof by the unitary  $w' = \sum_{g \in G} (v_g - \lambda_g 1_{\widetilde{A}}) f_g + 1_{\widetilde{A}}$ , where  $\lambda_g \in \mathbb{C}$  is such that  $v_g - \lambda_g 1_{\widetilde{A}} \in A$ .

- 3.3. **Applications.** In this section we apply Theorems 3.2, 3.3, and 3.4, and known classification results, to obtain classification of equivariant \*-homomorphisms and finite group actions on certain classes of 1-dimensional NCCW-complexes and AH-algebras.
- 3.3.1. 1-dimensional NCCW-complexes. Let E and F be finite dimensional C\*-algebras, and for  $x \in [0,1]$ , denote by  $\operatorname{ev}_x \colon \operatorname{C}([0,1],F) \to F$  the evaluation map at the point x. Recall that a C\*-algebra A is said to be a one-dimensional non-commutative CW-complex, abbreviated 1-dimensional NCCW-complex, if A is given by a pullback diagram of the form:

$$A \xrightarrow{E} \bigcup_{\text{ev}_0 \oplus \text{ev}_1} E$$

$$C([0,1], F) \xrightarrow{\text{ev}_0 \oplus \text{ev}_1} F \oplus F.$$

**Theorem 3.5.** Let G be a finite group. Let  $(A, \alpha)$  and  $(B, \beta)$  be separable C\*-dynamical systems such that A can be written as an inductive limit of 1-dimensional NCCW-complexes with trivial  $K_1$ -groups and such that B has stable rank one. Assume that  $\beta$  has the Rokhlin property.

(i) Fix strictly positive elements  $s_A$  and  $s_B$  of A and B, respectively. Let  $\rho \colon \mathrm{Cu}^{\sim}(A) \to \mathrm{Cu}^{\sim}(B)$  be a morphism in the category  $\mathbf{Cu}$  such that

$$\rho([s_A]) \leq [s_B]$$
 and  $\rho \circ \mathrm{Cu}^{\sim}(\alpha_q) = \mathrm{Cu}^{\sim}(\beta_q) \circ \rho$ 

for all  $q \in G$ . Then there exists an equivariant \*-homomorphism

$$\phi \colon (A, \alpha) \to (B, \beta)$$
 such that  $\operatorname{Cu}^{\sim}(\phi) = \rho$ .

(ii) If  $\phi, \psi \colon (A, \alpha) \to (B, \beta)$  are equivariant \*-homomorphisms, then  $\operatorname{Cu}^{\sim}(\phi) = \operatorname{Cu}^{\sim}(\psi)$  if and only if  $\phi \sim_{G-\operatorname{au}} \psi$ .

Moreover, if A is unital, or if it is simple and has trivial  $K_0$ -group, or if it can be written as an inductive limit of punctured-trees algebras, then the functor  $Cu^{\sim}$  can be replaced by the Cuntz functor Cu in the statement of this theorem.

- *Proof.* (i) Let  $\rho$ :  $\operatorname{Cu}^{\sim}(A) \to \operatorname{Cu}^{\sim}(B)$  be as in the statement of the theorem. By [28, Theorem 1], there exists a \*-homomorphism  $\psi$ :  $A \to B$  such that  $\operatorname{Cu}^{\sim}(\psi) = \rho$ . Using that  $\rho$  is equivariant, we get  $\operatorname{Cu}^{\sim}(\beta_g \circ \psi) = \operatorname{Cu}^{\sim}(\psi \circ \alpha_g)$  for all  $g \in G$ . By the uniqueness part of [28, Theorem 1], it follows that  $\beta_g \circ \psi \sim_{\operatorname{au}} \psi \circ \alpha_g$  for all  $g \in G$ . By Proposition 3.2, there exists an equivariant \*-homomorphism  $\phi$ :  $A \to B$  such that  $\phi \sim_{\operatorname{au}} \psi$ . Since  $\operatorname{Cu}^{\sim}$  is invariant under approximate unitary equivalence, we conclude  $\operatorname{Cu}^{\sim}(\phi) = \operatorname{Cu}^{\sim}(\psi)$ , as desired.
- (ii) The "if" implication is clear. For the converse, let  $\phi$  and  $\psi$  be as in the statement of the theorem. By the uniqueness part of [28, Theorem 1], we have  $\phi \sim_{\text{au}} \psi$ . It now follows from Proposition 3.1 that  $\phi \sim_{G-\text{au}} \psi$ .

It follows from [28, Remark 3 (ii)], and by [28, Corollary 4 (b)], [12, Corollary 6.7], and [33, Corollary 8.6], respectively, that the functors  $\operatorname{Cu}^{\sim}$  and  $\operatorname{Cu}$  are equivalent when restricted to the class of C\*-algebras that are inductive limits of 1-dimensional NCCW-complexes which are either unital or simple and with trivial  $\operatorname{K}_0$ -group. Hence, for these classes of C\*-algebras, the theorem holds when  $\operatorname{Cu}^{\sim}$  is replaced by  $\operatorname{Cu}$ . For C\*-algebras that are inductive limits of punctured-trees algebras, one can use [6, Theorem 1.1] instead of [28, Theorem 1] in the proof above to obtain the desired result. Finally, since B has stable rank one, the results in [28] show that  $\operatorname{Cu}(B)$  is a subsemigroup of  $\operatorname{Cu}^{\sim}(B)$ . In particular, for a homomorphism  $\phi \colon A \to B$ , the range of the induced map  $\operatorname{Cu}^{\sim}(\phi) \colon \operatorname{Cu}^{\sim}(A) \to \operatorname{Cu}^{\sim}(B)$  is contained in  $\operatorname{Cu}(B) \subseteq \operatorname{Cu}^{\sim}(B)$ .

**Theorem 3.6.** Let G be a finite group, and let  $(A, \alpha)$  and  $(B, \beta)$  be separable dynamical systems such that A and B can be written as inductive limits of 1-dimensional NCCW-complexes with trivial  $K_1$ -groups. Suppose that  $\alpha$  and  $\beta$  have the Rokhlin property.

(i) Fix strictly positive elements  $s_A$  and  $s_B$  of A and B respectively. Then the actions  $\alpha$  and  $\beta$  are conjugate if and only if there exists an isomorphism  $\gamma \colon \mathrm{Cu}^{\sim}(A) \to \mathrm{Cu}^{\sim}(B)$  with  $\gamma([s_A]) = [s_B]$ , such that

$$\gamma \circ \mathrm{Cu}^{\sim}(\alpha_g) = \mathrm{Cu}^{\sim}(\beta_g) \circ \gamma \text{ for all } g \in G.$$

(ii) Assume that A = B. Then the actions  $\alpha$  and  $\beta$  are conjugate by an approximately inner automorphism of A if and only if  $Cu^{\sim}(\alpha_g) = Cu^{\sim}(\beta_g)$  for all  $g \in G$ .

Moreover, if both A and B are unital, or if they are simple and have trivial  $K_0$ -groups, or if they can be written as inductive limits of punctured-trees algebras, then the functor  $Cu^{\sim}$  can be replaced by the Cuntz functor Cu.

*Proof.* Part (ii) clearly follows from (i). Let us prove (i). Let **B** denote the subcategory of the category **A** of C\*-algebras consisting of those C\*-algebras that can be written as an inductive limit of 1-dimensional NCCW-complexes with trivial K<sub>1</sub>-groups. By [28, Theorem 1], the functor  $(Cu^{\sim}(\cdot), [s.])$ , where s is a strictly positive element of the given algebra, restricted to **B** classifies homomorphisms. Therefore, by Theorem 3.3, the associated functor  $(Cu^{\sim}_{G}(\cdot), [s.])$  restricted to  $\mathbf{RB}_{G}$  classifies isomorphisms, which implies (i).

The last part of the theorem follows from the same arguments used at the end of the proof of Theorem 3.5.

Let G be a finite group. Recall that the action  $\mu^G \colon G \to \operatorname{Aut}\left(\mathrm{M}_{|G|^\infty}\right)$  constructed in Example 2.1 has the Rokhlin property, and that  $\mu_g^G$  is approximately inner for all  $g \in G$ .

In the next corollary, we do not assume that either  $\alpha$  or  $\beta$  has the Rokhlin property.

Corollary 3.1. Let G be a finite group and let  $(A, \alpha)$  and  $(A, \beta)$  be C\*-dynamical systems such that A can be written as an inductive limit of 1-dimensional NCCW-complexes with trivial  $K_1$ -groups. Suppose that  $Cu^{\sim}(\alpha_g) = Cu^{\sim}(\beta_g)$  for all  $g \in G$ . Then  $\alpha \otimes \mu^G$  and  $\beta \otimes \mu^G$  are conjugate.

Moreover, if A belongs to one of the classes of C\*-algebras described in the last part of Theorem 3.6, then the statement of the corollary holds for the functor Cu in place of the functor Cu $^{\sim}$ .

*Proof.* The actions  $\alpha \otimes \mu^G$  and  $\beta \otimes \mu^G$  have the Rokhlin property by part (i) of Lemma 2.1. Note that  $\mu_g^G$  is approximately inner for all  $g \in G$ . Thus,

$$\mathrm{Cu}^\sim(\alpha\otimes\mu_g^G)=\mathrm{Cu}^\sim(\alpha\otimes\mathrm{id}_{\mathrm{M}_{|G|^\infty}})=\mathrm{Cu}^\sim(\beta\otimes\mathrm{id}_{\mathrm{M}_{|G|^\infty}})=\mathrm{Cu}^\sim(\beta\otimes\mu_g^G)$$

for all  $g \in G$ . It follows from Theorem 3.6 (ii) that  $\alpha \otimes \mu^G$  and  $\beta \otimes \mu^G$  are conjugate.

3.3.2. AH-algebras. Recall that a C\*-algebra A is approximate homogeneous (shortly AH) if it can be written as an inductive limit  $A = \underline{\lim}(A_n, \phi_{n,m})$ , with

$$A_n = \bigoplus_{j=1}^{s(n)} P_{n,j} \mathcal{M}_{n,j}(\mathcal{C}(X_{n,j})) P_{n,j},$$

where  $X_{n,j}$  is a finite dimensional compact metric space, and  $P_{n,j} \in \mathcal{M}_{n,j}(\mathcal{C}(X_{n,j}))$  is a projection for all n and j. The C\*-algebra A is said to have no dimension growth if there exists an inductive limit decomposition of A as an AH-algebra such that

$$\sup_{n} \max_{j} \dim X_{n,j} < \infty.$$

Let A be a unital simple separable C\*-algebra and let T(A) denote the metrizable compact convex set of tracial states of A. Denote by T the induced contravariant functor from the category of unital separable simple C\*-algebras to the category of metrizable compact convex sets. It is not difficult to check that T is continuous, meaning that it sends inductive limits to projective limits.

Let T be a metrizable compact convex set and let Aff(T) denote the set of real-valued continuous affine functions on T. Let Aff denote the induced contravariant

functor from the category of metrizable compact convex sets to the category of normed vector spaces. Denote by  $\rho_A \colon \mathrm{K}_0(A) \to \mathrm{Aff}(\mathrm{T}(A))$  the map defined by

(3.3) 
$$\rho_A([p] - [q])(\tau) = (\tau \otimes \operatorname{Tr}_n)(p) - (\tau \otimes \operatorname{Tr}_n)(q)$$

for  $p, q \in M_n(\mathbb{C})$ , where  $\mathrm{Tr}_n$  denotes the standard trace on  $\mathrm{M}_n(\mathbb{C})$ .

Let A be a unital C\*-algebra. Denote by  $\mathrm{U}(A)$  the unitary group of A and by  $\mathrm{CU}(A)$  the closure of the normal subgroup generated by the commutators of  $\mathrm{U}(A)$ . We denote the quotient group by

$$H(A) = U(A)/CU(A)$$
.

(see [35] and [25] for properties of this group). The set  $\mathrm{H}(A)$ , endowed with the distance induced by the distance in  $\mathrm{U}(A)$ , is a complete metric space. We denote by H the induced functor from the category of C\*-algebras to the category of complete metric groups. Also, if A is a simple unital AH-algebra of no dimension growth (or more generally, a simple unital C\*-algebra of tracial rank no greater than one), then there exists an injection

(3.4) 
$$\lambda_A : \operatorname{Aff}(T(A)) / \overline{\rho_A(K_0(A))} \to \operatorname{H}(A).$$

(See [35] and [19].)

For a C\*-algebra A, denote by  $\underline{K}(A)$  the sum of all K-groups with  $\mathbb{Z}/n\mathbb{Z}$  coefficients for all  $n \geq 1$ . Let  $\Lambda$  denote the category generated by the Bockstein operations on  $\underline{K}(A)$  (see [10]). Then  $\underline{K}(A)$  becomes a  $\Lambda$ -module and it induces a continuous functor  $\underline{K}$  from the category of C\*-algebras to the category of  $\Lambda$ -modules.

Let A and B be unital simple AH-algebras and let  $\mathrm{KL}(A,B)$  denote the group defined in [31]. By the Universal Coefficient Theorem and the Universal Multi-coefficient Theorem (see [10]), the groups  $\mathrm{KL}(A,B)$  and  $\mathrm{Hom}_{\Lambda}(\underline{\mathrm{K}}(A),\underline{\mathrm{K}}(B))$  are naturally isomorphic. Let  $\mathrm{KL}_e^{++}(A,B)$  be as in [19, Definition 6.4]. By the previous isomorphism, the group  $\mathrm{KL}_e^{++}(A,B)$  is naturally isomorphic to

$$\{\kappa \in \operatorname{Hom}_{\Lambda}(\underline{K}(A),\underline{K}(B)) : \kappa(K_0(A)_+ \setminus \{0\}) \subseteq K_0(B)_+ \setminus \{0\}, \kappa([1_A]) = \kappa([1_B])\}.$$

Let us define a functor  $\underline{\mathbf{K}}^{++}$  from the category of separable, unital, simple, finite C\*-algebras to the category whose objects are 4-tuples (M,N,E,e), where M is a  $\Lambda$ -module, N is a subgroup of M, E is a subset of N, and e is an element of N; and whose morphisms  $\kappa\colon (M,N,E,e)\to (M',N',E',e')$  are  $\Lambda$ -module maps  $\kappa\colon M\to M'$  such that  $\kappa(N)\subseteq N',\ \kappa(E)\subseteq E',\ \text{and}\ \kappa(e)=e'.$  The functor  $\underline{\mathbf{K}}^{++}$  is defined as follows:

$$\underline{\mathbf{K}}^{++}(A) = (\underline{\mathbf{K}}(A), \mathbf{K}_0(A), \mathbf{K}_0(A)_+ \setminus \{0\}, [1_A]), \quad \text{ and } \quad \underline{\mathbf{K}}^{++}(\phi) = \underline{\mathbf{K}}(\phi).$$

Note that if A and B are unital AH-algebras then  $\mathrm{KL}_e^{++}(A,B)$  is isomorphic to  $\mathrm{Hom}(\underline{\mathrm{K}}^{++}(A),\underline{\mathrm{K}}^{++}(B)).$ 

Let C denote the category whose objects are tuples

$$((M, N, E, e), T, H, \rho, \lambda)$$
,

where (M, N, E, e) is as above, T is a metrizable compact convex set, H is a complete metric group,  $\rho \colon N \to \mathrm{Aff}(T)$  is a group homomorphism, and  $\lambda \colon \mathrm{Aff}(T)/\overline{\rho(N)} \to H$  is an injective continuous group homomorphism. The maps in  $\mathbb{C}$  are triples

$$(\kappa, \eta, \mu)$$
:  $((M, N, E, e), T, H, \rho, \lambda) \rightarrow ((M', N', E', e'), T', H', \rho', \lambda')$ 

where  $\kappa: (M, N, E, e) \to (M', N', E', e')$ ,  $\eta: T' \to T$ , and  $\mu: H \to H'$  are maps in the corresponding categories that satisfy the compatibility conditions:

$$\rho' \circ \kappa|_{N} = \text{Aff}(\eta) \circ \rho$$
, and  $\lambda' \circ \mu = \overline{\text{Aff}}(\eta) \circ \lambda$ ,

where

$$\overline{\mathrm{Aff}}(\eta) \colon \mathrm{Aff}(T)/\overline{\rho(N)} \to \mathrm{Aff}(T')/\overline{\rho(N')}$$

is the map induced by Aff( $\eta$ ). Using that inductive limits of sequences exist in each of the categories that form  $\mathbf{C}$ , it is not difficult to show that  $\mathbf{C}$  is also closed under taking inductive limits of sequences. Also, it is easy to see that  $\mathbf{F} = (\underline{\mathbf{K}}^{++}, \mathbf{T}, \mathbf{H})$  is a functor from the category of unital, simple, separable, finite  $\mathbf{C}^*$ -algebras to the category  $\mathbf{C}$ . Moreover, since the functors that form  $\mathbf{F}$  are continuous,  $\mathbf{F}$  is also continuous.

**Theorem 3.7.** Let G be a finite group. Let  $(A, \alpha)$  and  $(B, \beta)$  be dynamical systems such that A and B are unital simple AH-algebras of no dimension growth. Assume that  $\beta$  has the Rokhlin property.

(i) Let

$$\kappa : \underline{\mathrm{K}}^{++}(A) \to \underline{\mathrm{K}}^{++}(B), \quad \eta : \mathrm{T}(B) \to \mathrm{T}(A), \quad \text{and} \quad \mu : \mathrm{H}(A) \to \mathrm{H}(B),$$

be maps in the corresponding categories that satisfy the compatibility conditions

$$\rho_B \circ \kappa|_{K_0(A)} = \text{Aff}(\eta) \circ \rho_A, \quad \text{and} \quad \lambda_B \circ \mu = \overline{\text{Aff}}(\eta) \circ \lambda_A,$$

where  $\rho_A$ ,  $\rho_B$ ,  $\lambda_A$ , and  $\lambda_B$  are as in (3.3) and (3.4). Suppose that

$$\kappa \circ \underline{K}(\alpha_g) = \underline{K}(\beta_g) \circ \kappa, \quad \eta \circ T(\beta_g) = T(\alpha_g) \circ \eta, \quad \mu \circ H(\alpha_g) = H(\beta_g) \circ \mu,$$

for all  $g \in G$ . Then there exists an equivariant \*-homomorphism  $\phi \colon (A, \alpha) \to (B, \beta)$  such that

$$\underline{\mathbf{K}}^{++}(\phi) = \kappa$$
,  $\mathbf{T}(\phi) = \eta$ , and  $\mathbf{H}(\phi) = \mu$ .

(ii) Let  $\phi, \psi \colon A \to B$  be equivariant \*-homomorphisms such that

$$K(\phi) = K(\psi), \quad T(\phi) = T(\psi), \quad \text{and} \quad H(\phi) = H(\psi).$$

Then  $\phi \sim_{G-au} \psi$ .

*Proof.* It is shown in [16] that every unital simple AH-algebra of no dimension growth has tracial rank almost one. By [19, Theorems 5.11 and 6.10] applied to the algebras A and B, and using the computations of  $\mathrm{KL}_e^{++}(A,B)$  given in the paragraphs preceding the theorem, we deduce that the functor F (defined above) restricted to the category of unital simple AH-algebras of no dimension growth classifies \*-homomorphisms. The theorem now follows from Theorem 3.2.

**Theorem 3.8.** Let G be a finite group and let A and B be unital simple AH-algebras of no dimension growth. Let  $\alpha$  and  $\beta$  be actions of G on A and B with the Rokhlin property.

(i) The actions  $\alpha$  and  $\beta$  are conjugate if and only if there exist isomorphisms

$$\kappa : \underline{\mathrm{K}}^{++}(A) \to \underline{\mathrm{K}}^{++}(B), \quad \eta \colon \mathrm{T}(B) \to \mathrm{T}(A), \quad \mu \colon \mathrm{H}(A) \to \mathrm{H}(B),$$

in the corresponding categories, that satisfy the compatibility conditions of the previous theorem, and such that

$$\kappa \circ \underline{K}(\alpha_q) = \underline{K}(\beta_q) \circ \kappa, \quad \eta \circ T(\beta_q) = T(\alpha_q) \circ \rho, \quad \mu \circ H(\alpha_q) = H(\beta_q) \circ \lambda,$$

for all  $g \in G$ .

(ii) Assume that A = B. Then the actions  $\alpha$  and  $\beta$  are conjugate by an approximately inner automorphism if and only if

$$\underline{\mathrm{K}}(\alpha_g) = \underline{\mathrm{K}}(\beta_g), \quad \mathrm{T}(\alpha_g) = \mathrm{T}(\beta_g), \quad \text{and} \quad \mathrm{H}(\alpha_g) = \mathrm{H}(\beta_g),$$
 for all  $g \in G$ .

*Proof.* Part (ii) clearly follows from (i) and part (ii) of Theorem 3.7. Let us prove (i). As in the proof of Theorem 3.7, the functor F restricted to the category of unital simple AH-algebras of no dimension growth classifies homomorphisms. The statements of the theorem now follows from Theorem 3.3.  $\Box$ 

Corollary 3.2. Let G be a finite group and let A be a unital simple AH-algebra of no dimension growth. Let  $(A, \alpha)$  and  $(A, \beta)$  be C\*-dynamical systems. Suppose that

$$\underline{\mathbf{K}}^{++}(\alpha_g) = \underline{\mathbf{K}}^{++}(\beta_g), \quad \mathbf{T}(\alpha_g) = \mathbf{T}(\beta_g), \quad \text{and} \quad \mathbf{H}(\alpha_g) = \mathbf{H}(\beta_g),$$
 for all  $g \in G$ . Then  $\alpha \otimes \mu^G$  and  $\beta \otimes \mu^G$  are conjugate.

*Proof.* The proof of this corollary follows line by line the proof of Corollary 3.1, using the functor F instead of the functor  $\mathrm{Cu}^{\sim}$  and Theorem 3.8 instead of Theorem 3.6.

# 4. Cuntz semigroup and K-theoretical constraints

In this section, a Cuntz semigroup obstruction is obtained for a C\*-algebra to admit an action with the Rokhlin property. Also, the Cuntz semigroup of the fixed-point C\*-algebra and the crossed product C\*-algebra associated to an action of a finite group with the Rokhlin property are computed in terms of the Cuntz semigroup of the given algebra. As a corollary, similar results are obtained for the Murray-von Neumann semigroup and the K-groups.

Let G be a group and let  $(S, \gamma)$  be an object in the category  $\mathbf{Cu}_G$ , this is, S is a semigroup in the category  $\mathbf{Cu}$  and  $\gamma \colon G \to \mathrm{Aut}(S)$  is an action of G on S. Let  $S^\gamma$  and  $S^\gamma_\mathbb{N}$  be the subsemigroups of S defined in Definition 2.3. It was shown in Lemma 2.3 that  $S^\gamma$  belongs to the category  $\mathbf{Cu}$  and that  $S^\gamma_\mathbb{N}$  is closed under suprema of increasing sequences. We do not know in general whether  $S^\gamma_\mathbb{N}$  is an object in  $\mathbf{Cu}$ . However, if  $\alpha \colon G \to \mathrm{Aut}(A)$  is an action of a finite group G on a C\*-algebra A with the Rokhlin property, it will follow by the next theorem that  $\mathrm{Cu}(A)^{\mathrm{Cu}(\alpha)}_\mathbb{N}$  coincides with  $\mathrm{Cu}(A)^{\mathrm{Cu}(\alpha)}_\mathbb{N}$ , and with the Cuntz semigroup of  $A^\alpha$ , so in particular belongs to  $\mathbf{Cu}$ .

For use in the proof of the next theorem, if  $\phi\colon A\to B$  is a \*-homomorphism between C\*-algebras A and B, we denote by  $\phi^s\colon A\otimes\mathcal{K}\to B\otimes\mathcal{K}$  the stabilized \*-homomorphism  $\phi^s=\phi\otimes\mathrm{id}_{\mathcal{K}}$ .

**Theorem 4.1.** Let A be a C\*-algebra and let  $\alpha$  be an action of a finite group G on A with the Rokhlin property. Let  $i: A^{\alpha} \to A$  be the inclusion map. Then:

- (i) The map  $Cu(\widetilde{i}) \colon Cu(\widetilde{A^{\alpha}}) \to Cu(\widetilde{A})$  is an order embedding;
- (ii) The map  $Cu(i): Cu(A^{\alpha}) \to Cu(A)$  is an order embedding and

$$\operatorname{Im}(\operatorname{Cu}(i)) = \overline{\operatorname{Im}\left(\sum_{g \in G} \operatorname{Cu}(\alpha_g)\right)} = \operatorname{Cu}(\operatorname{A})^{\operatorname{Cu}(\alpha)}_{\mathbb{N}} = \operatorname{Cu}(\operatorname{A})^{\operatorname{Cu}(\alpha)};$$

*Proof.* In the proof of this theorem, we will denote the action induced by  $\alpha$  on  $\widetilde{A} \otimes \mathcal{K}$  again by  $\alpha$ .

(i) Let  $a, b \in \widetilde{A^{\alpha}} \otimes \mathcal{K}$  satisfy  $a \lesssim b$  in  $\widetilde{A} \otimes \mathcal{K}$ . We want to show that  $a \lesssim b$  in  $\widetilde{A^{\alpha}} \otimes \mathcal{K}$ . Let  $\varepsilon > 0$ . By Lemma 2.4, there exists  $d \in \widetilde{A} \otimes \mathcal{K}$  such that  $(a - \varepsilon)_+ = dbd^*$ . Apply  $\alpha_g$  to this equation to get  $(a - \varepsilon)_+ = \alpha_g(d)b\alpha_g(d^*)$  for all  $g \in G$ .

Let  $\pi \colon \widetilde{A} \to \mathbb{C}$  be the quotient map and let  $j \colon \mathbb{C} \to \widetilde{A}$  be the inclusion  $j(\lambda) = \lambda 1_{\widetilde{A}}$  for all  $\lambda \in \mathbb{C}$ . It is clear that  $\pi \circ j = \mathrm{id}_{\mathbb{C}}$ . Set

$$a_{1} = (j^{s} \circ \pi^{s})((a - \varepsilon)_{+}) \in \mathbb{C}1_{\widetilde{A}} \otimes \mathcal{K}, \qquad a_{2} = (a - \varepsilon)_{+} - a_{1} \in A^{\alpha} \otimes \mathcal{K},$$

$$b_{1} = (j^{s} \circ \pi^{s})(b) \in \mathbb{C}1_{\widetilde{A}} \otimes \mathcal{K}, \qquad b_{2} = b - b_{1} \in A^{\alpha} \otimes \mathcal{K},$$

$$d_{1} = (j^{s} \circ \pi^{s})(d) \in \mathbb{C}1_{\widetilde{A}} \otimes \mathcal{K}, \qquad d_{2} = d - d_{1} \in A \otimes \mathcal{K}.$$

Then  $a_1 = d_1 b_1 d_1^*$ . Set

$$F = \{ \alpha_q(d_2)b\alpha_q(d_2) \colon g \in G \} \cup \{ d_1b\alpha_q(d_2^*) \colon g \in G \} \cup \{ a_2 - d_1b_2d_1^* \} \subseteq \widetilde{A} \otimes \mathcal{K}.$$

Use Lemma 2.1 (ii) to choose orthogonal positive contractions  $(r_g)_{g \in G}$  in  $(A \otimes \mathcal{K})^{\infty} \cap F' \subseteq (\widetilde{A} \otimes \mathcal{K})^{\infty} \cap F'$  such that  $\alpha_g(r_g) = r_{gh}$  for all  $g, h \in G$ , and  $(\sum_{g \in G} r_g)x = x$  for all  $x \in F$ . Set

$$f = \sum_{g \in G} r_g \alpha_g(d_2) + d_1 \in (\widetilde{A} \otimes \mathcal{K})^{\infty}.$$

In the following computation, we use in the first step the identities  $r_g x = x r_g$  for all  $g \in G$  and  $x \in F$ ,  $r_g r_h = 0$  for all  $g \neq h$ , and  $(r_g^2 - r_g)x = x$  for all  $g \in G$  and  $x \in F$ ; in the second step the definition of  $d_2$ ; in the fourth step that  $d_1 \in (\widetilde{A} \otimes \mathcal{K})^{\alpha}$  and the identity  $(a - \varepsilon)_+ = \alpha_g(d)b\alpha_g(d^*)$  for all  $g \in G$ ; in the fifth step the identity

 $a_1 = d_1 b_1 d_1^*$ ; and in the last step the identity  $(\sum_{g \in G} r_g)x = x$  for all  $x \in F$ :

$$\begin{split} fbf^* &= \left(\sum_{g,h \in G} r_g \alpha_g(d_2) b \alpha_h(d_2^*) r_h + \sum_{g \in G} r_g \alpha_g(d_2) b d_1^* + \sum_{g \in G} d_1 b \alpha_g(d_2^*) r_g\right) + d_1 b d_1^* \\ &= \left(\sum_{g \in G} r_g \alpha_g(d_2) b \alpha_g(d_2^*) + \sum_{g \in G} r_g \alpha_g(d_2) b d_1^* + \sum_{g \in G} r_g d_1 b \alpha_g(d_2^*)\right) + d_1 b d_1^* \\ &= \left(\sum_{g \in G} r_g \left(\alpha_g(d - d_1) b \alpha_g(d^* - d_1^*) + \alpha_g(d_2) b d_1^* + d_1 b \alpha_g(d_2^*)\right)\right) + d_1 b d_1^* \\ &= \left(\sum_{g \in G} r_g \left(\alpha_g(d) b \alpha_g(d^*) - \alpha_g(d - d_2) b d_1^* - d_1 b \alpha_g(d^* - d_2^*) + d_1 b d_1^*\right)\right) + d_1 b d_1^* \\ &= \left(\sum_{g \in G} r_g \left((a - \varepsilon)_+ - d_1 b d_1^* - d_1 b d_1^* + d_1 b d_1^*\right)\right) + d_1 b d_1^* \\ &= \left(\sum_{g \in G} r_g \left((a - \varepsilon)_+ - d_1 b d_1^* - d_1 b_2 d_1^*\right)\right) + d_1 b d_1^* \\ &= \left(\sum_{g \in G} r_g \left(a_1 + a_2 - d_1 b_1 d_1^* - d_1 b_2 d_1^*\right)\right) + d_1 b d_1^* \\ &= \left(\sum_{g \in G} r_g \left(a_2 - d_1 b_2 d_1^*\right)\right) + d_1 b d_1^* \\ &= a_2 + d_1 b_1 d_1^* \\ &= (a - \varepsilon)_+. \end{split}$$

Shortly,  $(a - \varepsilon)_+ = fbf^*$  in  $(\widetilde{A} \otimes \mathcal{K})^{\infty}$ . Since

$$f = \sum_{g \in G} r_g \alpha_g(d_2) + d_1 = \sum_{g \in G} \alpha_g(r_e d_2) + d_1,$$

it follows that  $\alpha_g(f) = f$  for all  $g \in G$ . This implies that f is the image of a sequence  $(f_n)_{n \in \mathbb{N}}$  in  $\ell^{\infty}(\mathbb{N}, \widetilde{A}^{\alpha} \otimes \mathcal{K})$ , which satisfies

$$\lim_{n \to \infty} f_n b f_n^* = (a - \varepsilon)_+.$$

Thus,  $(a - \varepsilon)_+ \lesssim b$  in  $\widetilde{A}^{\alpha} \otimes \mathcal{K}$ . Since  $\varepsilon > 0$  is arbitrary, we conclude that  $[a] \leq [b]$  in  $Cu(\widetilde{A}^{\alpha})$ , as desired.

(ii) Since A is an ideal in  $\widetilde{A}$ , the semigroup  $\mathrm{Cu}(A)$  can be identified with the subsemigroup of  $\mathrm{Cu}(\widetilde{A})$  given by

$$\{[a] \in \mathrm{Cu}(\widetilde{A}) \colon a \in (A \otimes \mathcal{K})_+\}.$$

Using this identification, it is clear that the restriction of  $Cu(\tilde{i})$  to Cu(A) is Cu(i). Therefore, it follows from the first part of the theorem that Cu(i) is an order embedding.

Let us now proceed to prove the equalities stated in the theorem. It is sufficient to show that

$$(4.1) \operatorname{Im}(\operatorname{Cu}(i)) \subseteq \operatorname{Im}\left(\sum_{g \in G} \operatorname{Cu}(\alpha_g)\right) \subseteq \operatorname{Cu}(A)^{\operatorname{Cu}(\alpha)} \subseteq \operatorname{Cu}(A)^{\operatorname{Cu}(\alpha)}_{\mathbb{N}} \subseteq \operatorname{Im}(\operatorname{Cu}(i)).$$

The third inclusion is immediate and true in full generality. The second inclusion follows using that for  $[a] \in Cu(A)$ , the element  $\sum_{g \in G} Cu(\alpha_g)([a])$  is  $Cu(\alpha)$ -invariant,

that  $\sum_{g \in G} \operatorname{Cu}(\alpha_g)([a])$  is the supremum of the path

$$t \mapsto \sum_{g \in G} \operatorname{Cu}(\alpha_g)([(a+t-1)_+]),$$

and that  $Cu(A)^{Cu(\alpha)} = \overline{Cu(A)^{Cu(\alpha)}}$  by part (ii) of Lemma 2.3.

We proceed to show the first inclusion. Fix a positive element  $a \in A^{\alpha} \otimes \mathcal{K}$  and let  $\varepsilon > 0$ . Using the Rokhlin property for  $\alpha \otimes \mathrm{id}_{\mathcal{K}}$  with  $F = \{a\}$ , choose orthogonal positive contractions  $(r_q)_{q \in G} \subseteq A \otimes \mathcal{K}$  such that

(4.2) 
$$\left\| a - \sum_{g \in G} r_g a r_g \right\| < \varepsilon \quad \text{and} \quad \|\alpha_g(r_e a r_e) - r_g a r_g\| < \varepsilon,$$

for all  $g \in G$ . Using the first inequality above and Lemma 2.4, we obtain

$$\left[ (a - 4\varepsilon)_{+} \right] \leq \left[ \left( \sum_{g \in G} r_g a r_g - 3\varepsilon \right)_{+} \right] \leq \left[ \left( \sum_{g \in G} r_g a r_g - \varepsilon \right)_{+} \right] \leq [a].$$

Furthermore, using the second inequality in (4.2) and again using Lemma 2.4, we deduce that

$$\left[ \left( r_g a r_g - 3\varepsilon \right)_+ \right] \le \left[ \left( \alpha_g (r_e a r_e) - 2\varepsilon \right)_+ \right] \le \left[ \left( r_g a r_g - \varepsilon \right)_+ \right].$$

Take the sum of the previous inequalities, add them over  $g \in G$ , and use that  $\operatorname{Cu}(\alpha_g)[(r_ear_e-2\varepsilon)_+]=[(\alpha_g(r_ear_e)-2\varepsilon)_+]$ , to conclude that

$$[(a-4\varepsilon)_+] \ll \sum_{g \in G} \operatorname{Cu}(\alpha_g) [(r_e a r_e - 2\varepsilon)_+] \leq [a].$$

We have shown that for every  $\varepsilon > 0$ , there is an element x in  $\operatorname{Im} \left( \sum_{g \in G} \operatorname{Cu}(\alpha_g) \right)$  such that

$$[(a-\varepsilon)_+] \ll x \le [a].$$

By Lemma 2.2 applied to  $[a] = \sup_{\varepsilon > 0} [(a - \varepsilon)_+]$  and to the set  $S = \operatorname{Im} \left( \sum_{g \in G} \operatorname{Cu}(\alpha_g) \right)$ , it follows that [a] is the supremum of an increasing sequence in  $\operatorname{Im} \left( \sum_{g \in G} \operatorname{Cu}(\alpha_g) \right)$ , showing that the first inclusion in (4.1) holds.

In order to complete the proof, let us show that the fourth inclusion in (4.1) is also true. Fix  $x \in \operatorname{Cu}(A)^{\operatorname{Cu}(\alpha)}_{\mathbb{N}}$ . Choose a rapidly increasing sequence  $(x_n)_{n \in \mathbb{N}}$  in  $\operatorname{Cu}(A)$  such that  $\operatorname{Cu}(\alpha_g)(x_n) = x_n$  for all  $n \in \mathbb{N}$  and all for all  $g \in G$ . Fix  $m \in \mathbb{N}$  and consider the elements  $x_n$  with  $n \geq m$ . Note that  $x_m \ll x_{m+1} \ll \cdots \ll x$ . By Lemma 2.6, there is a positive element  $a \in A \otimes \mathcal{K}$  such that

$$x_m \ll [(a-3\varepsilon)_+] \ll x_{m+1} \ll (a-2\varepsilon)_+ \ll x_{m+2} \ll (a-\varepsilon)_+ \ll x = [a].$$

Note that this implies that

$$[\alpha_g(a)] = \mathrm{Cu}(\alpha_g)[a] = \mathrm{Cu}(\alpha_g)(x) = x = [a] \le [a]$$

and

$$[(a-2\varepsilon)_+] \le x_{m+2} = \operatorname{Cu}(\alpha_g)(x_{m+2}) \le \operatorname{Cu}(\alpha_g)[(a-\varepsilon)_+] = [\alpha_g((a-\varepsilon)_+)]$$

for every  $g \in G$ . By the definition of Cuntz subequivalence, there are elements  $f_q, h_q \in A \otimes \mathcal{K}$  for  $g \in G$  such that

$$\|\alpha_g(a) - f_g a f_g^*\| < \frac{\varepsilon}{|G|}$$

and

$$\|(a-2\varepsilon)_+ - h_g\alpha_g((a-\varepsilon)_+)h_g^*\| < \frac{\varepsilon}{|G|}.$$

Using the Rokhlin property for  $\alpha$ , with

$$F = \{\alpha_q(a), \alpha_q((a-\varepsilon)_+), f_q, h_q \colon g \in G\} \cup \{(a-2\varepsilon)_+\},\$$

choose positive orthogonal contractions  $(r_g)_{g\in G}\subseteq (A\otimes \mathcal{K})^\infty\cap F'$  as in (ii) of Lemma 2.1. Set  $f=\sum_{g\in G}f_gr_g$  and  $h=\sum_{g\in G}h_gr_g$ . Then

$$\left\| \sum_{g \in G} r_g \alpha_g(a) r_g - f a f^* \right\| = \left\| \sum_{g \in G} r_g(\alpha_g(a) - f_g a f_g^*) \right\| < |G| \cdot \frac{\varepsilon}{|G|} = \varepsilon,$$

in  $(A \otimes \mathcal{K})^{\infty}$ . Similarly,

$$\left\| (a - 2\varepsilon)_{+} - h \left( \sum_{g \in G} \alpha_{g}((a - \varepsilon)_{+}) \right) h^{*} \right\| < \varepsilon.$$

Using that  $r_g$  commutes with  $\alpha_g(a)$  and that  $r_g^2 \alpha_g(a) = r_g \alpha_g(a)$  for all  $g \in G$ , one easily shows that

$$\sum_{g \in G} r_g \alpha_g(a) r_g = \sum_{g \in G} \alpha(r_e a r_e), \quad \text{and} \quad r_g(\alpha_g((a - \varepsilon)_+)) r_g = (r_g \alpha_g(a) r_g - \varepsilon)_+,$$

for all  $q \in G$ . Thus, we have

$$\sum_{g \in G} r_g(\alpha_g((a-\varepsilon)_+))r_g = \sum_{g \in G} r_g(\alpha_g(a) - \varepsilon)_+ r_g$$

$$= \sum_{g \in G} (r_g\alpha_g(a)r_g - \varepsilon)_+$$

$$= \left(\sum_{g \in G} r_g\alpha_g(a)r_g - \varepsilon\right)_+$$

$$= \left(\sum_{g \in G} \alpha_g(r_ear_e) - \varepsilon\right)_+$$

Therefore, we conclude that

$$\left\| \sum_{g \in G} \alpha_g(r_e a r_e) - f a f^* \right\| < \varepsilon, \quad \text{and} \quad \left\| (a - 2\varepsilon)_+ - h \left( \sum_{g \in G} \alpha_g(r_e a r_e) - \varepsilon \right)_+ h^* \right\| < \varepsilon.$$

Let  $(r_n)_{n\in\mathbb{N}}$ ,  $(f_n)_{n\in\mathbb{N}}$ , and  $(h_n)_{n\in\mathbb{N}}$  be representatives of  $r_e$ , f, and h in  $\ell^{\infty}(\mathbb{N}, A\otimes \mathbb{N})$  $\mathcal{K}$ ), with  $r_n$  positive for all  $n \in \mathbb{N}$ . By the previous inequalities, there exists  $k \in \mathbb{N}$ 

$$\left\| \sum_{g \in G} \alpha_g(r_k a r_k) - f_k a f_k^* \right\| < \varepsilon, \text{ and } \left\| (a - 2\varepsilon)_+ - h_k \left( \sum_{g \in G} \alpha_g(r_k a r_k) - \varepsilon \right)_+ h_k^* \right\| < \varepsilon$$

hold in  $A \otimes \mathcal{K}$ . By Lemma 2.4 applied to the elements  $\sum_{g \in C} \alpha_g(r_k a r_k)$  and  $f_k a f_k^*$ ,

and to the elements  $(a-2\varepsilon)_+$  and  $h_k\left(\sum_{a\in G}\alpha(r_kar_k)-\varepsilon\right)$   $h_k^*$ , we deduce that

$$[(a-3\varepsilon)_+] \le \left[ \left( \sum_{g \in G} \alpha_g(r_k a r_k) - \varepsilon \right)_+ \right] \le [a].$$

Therefore,

$$x_m \ll \left[ \left( \sum_{g \in G} \alpha_g(r_k a r_k) - \varepsilon \right)_+ \right] \ll x.$$

Note that the element  $\left(\sum_{g\in G}\alpha_g(r_kar_k)-\varepsilon\right)_+$  belongs to  $(A\otimes \mathcal{K})^{\alpha}$  and so it is in

the image of the inclusion map  $i^s = i \otimes id_{\mathcal{K}} : (A \otimes \mathcal{K})^{\alpha} \to A \otimes \mathcal{K}$ . Since m is arbitrary, we deduce that x is the supremum of an increasing sequence in Im(Cu(i)) by Lemma 2.2. Choose a sequence  $(y_n)_{n\in\mathbb{N}}$  in  $Cu(A^{\alpha})$  such that  $(Cu(i)(y_n))_{n\in\mathbb{N}}$  is increasing in Cu(A) and set  $x = \sup(Cu(i)(y_n))$ . Since Cu(i) is an order embedding, it follows

that  $(y_n)_{n\in\mathbb{N}}$  is itself increasing in  $\mathrm{Cu}(A^\alpha)$ . Set  $y=\sup y_n$ . Then  $\mathrm{Cu}(y)=x$  since 

Cu(i) preserves suprema of increasing sequences.

**Corollary 4.1.** Let A be a C\*-algebra and let  $\alpha$  be an action of a finite group G on A with the Rokhlin property. Then  $\operatorname{Cu}(A \rtimes_{\alpha} G)$  is order-isomorphic to the semigroup:

$$\left\{x \in \operatorname{Cu}(A) \colon \exists \ (x_n)_{n \in \mathbb{N}} \text{ in } \operatorname{Cu}(A) \colon \begin{array}{c} x_n \ll x_{n+1} \ \forall n \in \mathbb{N} \text{ and } x = \sup_{n \in \mathbb{N}} x_n, \\ \operatorname{Cu}(\alpha_g)(x_n) = x_n \ \forall g \in G, \forall n \in \mathbb{N} \end{array} \right\}.$$

*Proof.* Since  $\alpha$  has the Rokhlin property, the fixed point algebra  $A^{\alpha}$  is Morita equivalent to the crossed product  $A \rtimes_{\alpha} G$  by [27, Theorem 2.8]. Therefore, there is a natural isomorphism  $\operatorname{Cu}(A \rtimes_{\alpha} G) \cong \operatorname{Cu}(A^{\alpha})$ . Denote by  $i \colon A^{\alpha} \to A$  the natural embedding. By Theorem 4.1, the semigroup  $\operatorname{Cu}(A^{\alpha})$  can be naturally identified with its image under the order embedding  $\operatorname{Cu}(i)$ , which is  $\operatorname{Cu}(A)^{\operatorname{Cu}(\alpha)}_{\mathbb{N}}$  again by Theorem 4.1. The result follows.

Corollary 4.2. Let A be a C\*-algebra, let  $\alpha$  be an action of a finite group G on A with the Rokhlin property, and set n = |G|. Suppose that  $\operatorname{Cu}(\alpha_g) = \operatorname{id}_{\operatorname{Cu}(A)}$  for every  $g \in G$ , and that the map multiplication by n on  $\operatorname{Cu}(A)$  is an order embedding (in other words, whenever  $x, y \in \operatorname{Cu}(A)$  satisfy  $nx \leq ny$ , one has  $x \leq y$ .) Then the map multiplication by n in  $\operatorname{Cu}(A)$  is an order-isomorphism.

*Proof.* It suffices to show that for all  $x \in Cu(A)$ , there exists  $y \in Cu(A)$  such that x = ny. By Theorem 4.1 (ii), we have

$$\overline{\operatorname{Im}\left(\sum_{g\in G}\operatorname{Cu}(\alpha_g)\right)}=\operatorname{Cu}(A)^{\operatorname{Cu}(\alpha)}_{\mathbb{N}}.$$

Since  $Cu(\alpha_q) = id_{Cu(A)}$  for all  $g \in G$ , this identity can be rewritten as

$$\overline{nCu(A)} = Cu(A).$$

In particular, if x is an element in  $\mathrm{Cu}(A)$ , then there exists a sequence  $(y_k)_{k\in\mathbb{N}}$  in  $\mathrm{Cu}(A)$  such that  $(ny_k)_{k\in\mathbb{N}}$  is increasing and  $x=\sup_{k\in\mathbb{N}}(ny_k)$ . Since  $(ny_k)_{k\in\mathbb{N}}$  is

increasing, it follows from our assumptions that  $(y_k)_{k\in\mathbb{N}}$  is increasing as well. Set  $y=\sup_{k\in\mathbb{N}}y_k$ . Then

$$x = \sup_{k \in \mathbb{N}} (ny_k) = n \sup_{k \in \mathbb{N}} y_k = ny,$$

and the claim follows.

Let A be a C\*-algebra and let p and q be projections in A. We say that p and q are Murray-von Neumann equivalent, and denote this by  $p \sim_{\text{MvN}} q$ , if there exists  $v \in A$  such that  $p = v^*v$  and  $q = vv^*$ . We say that p is Murray-von Neumann subequivalent to q, and denote this by  $p \lesssim_{\text{MvN}} q$ , if there is a projection  $p' \in A$  such that  $p \sim_{\text{MvN}} p'$  and  $p' \leq q$ . The projection p is said to be finite if whenever q is a projection in A with  $q \leq p$  and  $q \sim_{\text{MvN}} p$ , then q = p.

If A is unital, then A is said to be *finite* if its unit is a finite projection. Moreover, A is said to be *stably finite* if  $M_n(A)$  is finite for all  $n \in \mathbb{N}$ . If A is not unital, we say that A is (stably) finite if so is its unitization  $\widetilde{A}$ .

**Lemma 4.1.** Let A be a stably finite C\*-algebra and let  $p \in A \otimes \mathcal{K}$  be a projection. Suppose that there are positive elements  $a, b \in A \otimes \mathcal{K}$  such that [p] = [a] + [b] in Cu(A). Then a and b are Cuntz equivalent to projections in  $A \otimes \mathcal{K}$  (see the comments before Lemma 2.4 for the definition of Cuntz equivalence).

*Proof.* Let a and b be elements in  $A \otimes \mathcal{K}$  as in the statement. By the comments before Lemma 2.5, we have

$$[a] = \sup_{\varepsilon > 0} [(a - \varepsilon)_+] \quad \text{and} \quad [b] = \sup_{\varepsilon > 0} [(b - \varepsilon)_+].$$

Since  $[p] \ll [p]$ , there exists  $\varepsilon > 0$  such that  $[p] = [(a - \varepsilon)_+] + [(b - \varepsilon)_+]$ . Choose a function  $f_{\varepsilon} \in C_0(0, \infty)$  that is zero on the interval  $[\varepsilon, \infty)$ , nonzero at every point of  $(0, \varepsilon)$  and  $||f_{\varepsilon}||_{\infty} \leq 1$ . Then

$$[p] + [f_{\varepsilon}(a)] + [f_{\varepsilon}(b)] = [(a - \varepsilon)_+] + [f_{\varepsilon}(a)] + [(b - \varepsilon)_+] + [f_{\varepsilon}(b)] \le [a] + [b] = [p].$$

Hence,  $[p] + [f_{\varepsilon}(a)] + [f_{\varepsilon}(b)] = [p]$ . Choose  $c \in (A \otimes \mathcal{K})_+$  such that  $[c] = [f_{\varepsilon}(a)] + [f_{\varepsilon}(b)]$  and cp = 0. Then  $p + c \lesssim p$ . By [23, Lemma 2.3 (iv)], for every  $\delta > 0$  there exists  $x \in A \otimes \mathcal{K}$  such that

$$p + (c - \delta)_+ = x^*x, \quad xx^* \in p(A \otimes \mathcal{K})p.$$

Fix  $\delta>0$  and let x be as above. Let x=v|x| be the polar decomposition of x in the bidual of  $A\otimes \mathcal{K}$ . Set  $p'=vpv^*$  and  $c'=v(c-\delta)_+v^*$ . Then p' is a projection, p' and c' are orthogonal, p and p' are Murray-von Neumann equivalent, and  $p'+c'\in pAp$ . Using stable finiteness of A we conclude that p=p' and c'=0. It follows that  $(c-\delta)_+=0$  for all  $\delta>0$ , and thus c=0. Hence,  $f_{\varepsilon}(b)=f_{\varepsilon}(a)=0$  and in particular, a and b have a gap in their spectra. Therefore, they are Cuntz equivalent to projections.

Recall that the Murray-von  $Neumann\ semigroup$  of A, denoted by V(A), is defined as the quotient of the set of projections of  $A\otimes \mathcal{K}$  by the Murray-von Neumann equivalence relation.

Note that  $p \lesssim_{\mathrm{Cu}} q$  if and only if  $p \lesssim_{\mathrm{MvN}} q$ . On the other hand,  $p \lesssim_{\mathrm{MvN}} q$  and  $q \lesssim_{\mathrm{MvN}} p$  do not in general imply that  $p \sim_{\mathrm{MvN}} q$ , although this is the case whenever A is finite. In particular, if A is finite, then  $p \sim_{\mathrm{Cu}} q$  if and only if  $p \sim_{\mathrm{MvN}} q$ . Hence, if A is stably finite, then the semigroup V(A) can be identified with the ordered subsemigroup of  $\mathrm{Cu}(A)$  consisting of the Cuntz equivalence classes of projections of  $A \otimes \mathcal{K}$ .

Recall that if S is a semigroup in  $\mathbf{Cu}$  and x and y are elements of S, we say that x is compactly contained in y, and denote this by  $x \ll y$ , if for every increasing sequence  $(y_n)_{n \in \mathbb{N}}$  in S such that  $y = \sup_{n \in \mathbb{N}} y_n$ , there exists  $n_0 \in \mathbb{N}$  such that  $x \leq y_n$  for all  $n \geq n_0$ .

**Definition 4.1.** Let S be a semigroup in  $\mathbf{Cu}$  and let x be an element of S. We say that x is *compact* if  $x \ll x$ . Equivalently, x is compact if whenever  $(x_n)_{n \in \mathbb{N}}$  is a sequence in S such that  $x = \sup_{n \in \mathbb{N}} x_n$ , then there exists  $n_0 \in \mathbb{N}$  such that  $x_n = x$  for all  $n \geq n_0$ .

It is easy to check that the Cuntz class  $[p] \in Cu(A)$  of any projection p in a C\*-algebra A (or in  $A \otimes \mathcal{K}$ ) is a compact element in Cu(A). Moreover, when A is stably finite, then every compact element of Cu(A) is the Cuntz class of a projection in  $A \otimes \mathcal{K}$  by [5, Theorem 3.5]. In particular, V(A) can be identified with the semigroup of compact elements of Cu(A) if A is a stably finite C\*-algebra.

When studying stably finite C\*-algebras in connection with finite group actions with the Rokhlin property, the following lemma is often times useful. The result may be interesting in its own right, and could have been proved in [26] since it is a direct application of their methods.

**Lemma 4.2.** Let G be a finite group, let A be a unital stably finite C\*-algebra and let  $\alpha: G \to \operatorname{Aut}(A)$  be an action with the Rokhlin property. Then the crossed product  $A \rtimes_{\alpha} G$  and the fixed point algebra  $A^{\alpha}$  are stably finite.

*Proof.* The fixed point algebra  $A^{\alpha}$ , being a unital subalgebra of A, is stably finite. On the other hand, the crossed product  $A \rtimes_{\alpha} G$ , being stably isomorphic to  $A^{\alpha}$  by [27, Theorem 2.8], must itself also be stably finite.

For unital, simple C\*-algebras, part (ii) of the theorem below was first proved by Izumi in [20]. The proof in our context follows completely different ideas.

**Theorem 4.2.** Let A be a stably finite C\*-algebra and let  $\alpha$  be an action of a finite group G on A with the Rokhlin property. Let  $i: A^{\alpha} \to A$  be the inclusion map.

(i) The map  $V(i): V(A^{\alpha}) \to V(A)$  is an order embedding and

$$\operatorname{Im}(V(i)) = \operatorname{Im}\left(\sum_{g \in G} V(\alpha_g)\right) = \left\{x \in V(A) \colon V(\alpha_g)(x) = x, \, \forall g \in G\right\}.$$

(ii) If A has an approximate identity consisting of projections, then  $K_0(i)$ :  $K_0(A^{\alpha}) \to K_0(A)$  is an order embedding and

$$\operatorname{Im}(\mathrm{K}_0(i)) = \operatorname{Im}\left(\sum_{g \in G} \mathrm{K}_0(\alpha_g)\right) = \left\{x \in \mathrm{K}_0(A) \colon \mathrm{K}_0(\alpha_g)(x) = x, \, \forall g \in G\right\}.$$

*Proof.* (i) The fact that V(i) is an order embedding is a consequence of Theorem 4.1 and the remarks before and after Definition 4.1. Let us now show the inclusions (4.3)

$$\operatorname{Im}(\operatorname{V}(i)) \subseteq \operatorname{Im}\left(\sum_{g \in G} \operatorname{V}(\alpha_g)\right) \subseteq \{x \in \operatorname{V}(A) \colon \operatorname{V}(\alpha_g)(x) = x \; \forall \; g \in G\} \subseteq \operatorname{Im}(\operatorname{V}(i)).$$

Let  $p \in A^{\alpha} \otimes \mathcal{K}$  be a projection. By Theorem 4.1, there exists a sequence  $(a_n)_{n \in \mathbb{N}}$ 

in 
$$(A \otimes \mathcal{K})_+$$
 such that  $\left(\sum_{g \in G} \operatorname{Cu}(\alpha_g)([a_n])\right)_{n \in \mathbb{N}}$  is increasing and

$$[i(p)] = \sup_{n \in \mathbb{N}} \left( \sum_{g \in G} \operatorname{Cu}(\alpha_g)([a_n]) \right).$$

Since [i(p)] is a compact element in Cu(A), it follows that there exists  $n_0 \in \mathbb{N}$  such that  $[i(p)] = \sum_{g \in G} Cu(\alpha_g)([a_n])$  for all  $n \geq n_0$ . Fix  $m \geq n_0$ . It is easy to

check that if S is a semigroup in the category  $\mathbf{Cu}$ , then a sum of elements in S is compact if and only if each summand is compact. It follows that  $\mathrm{Cu}(\alpha_g)([a_m])$  is compact for all  $g \in G$ . In particular, and denoting the unit of G by e, we deduce that  $[a_m] = \mathrm{Cu}(\alpha_e)([a_m])$  is compact. Since A is stably finite by assumption, there exists a projection  $q \in A \otimes \mathcal{K}$  such that  $[q] = [a_m]$ . Thus

$$V(i)([p]) = \sum_{g \in G} V(\alpha_g)([q]) \in Im \left(\sum_{g \in G} V(\alpha_g)\right),$$

showing that the first inclusion in (4.3) holds.

Using the fact that  $\alpha_h \circ \left(\sum_{g \in G} \alpha_g\right) = \sum_{g \in G} \alpha_g$  for all  $h \in G$ , it is easy to check that

$$\operatorname{Im}\left(\sum_{g\in G} V(\alpha_g)\right) \subseteq \left\{x \in V(A) \colon V(\alpha_g)(x) = x, \, \forall g \in G\right\},\,$$

thus showing that the second inclusion also holds.

We proceed to prove the third inclusion. Let  $x \in V(A)$  be such that  $V(\alpha_g)(x) = x$  for all  $g \in G$ . Note that x is compact as an element in Cu(A). It follows that  $Cu(\alpha_g)(x) = x$  for all  $g \in G$  and hence by Theorem 4.1 there exists  $a \in (A^{\alpha} \otimes \mathcal{K})_+$  such that Cu(i)([a]) = x. Since the map Cu(i) is an order embedding again by Theorem 4.1, one concludes that [a] is compact.

Finally, the fixed point algebra  $A^{\alpha}$  is stably finite by Lemma 4.2 and thus there is a projection  $p \in A^{\alpha} \otimes \mathcal{K}$  such that [p] = [a] in  $Cu(A^{\alpha})$ . It follows that Cu(i)([p]) = x, showing that the third inclusion in (4.3) is also true.

(ii) Follows using the first part, together with the fact that the K<sub>0</sub>-group of a C\*-algebra containing an approximate identity consisting of projections, agrees with the Grothendieck group of the Murray-von Neumann semigroup of the algebra; see Proposition 5.5.5 in [3]. □

In the following corollary, the picture of  $V(A \rtimes_{\alpha} G)$  is valid for arbitrary A.

Corollary 4.3. Let A be a stably finite C\*-algebra containing an approximate identity consisting of projections, and let  $\alpha$  be an action of a finite group G on A with the Rokhlin property. Then there are isomorphisms

$$V(A \rtimes_{\alpha} G) \cong \{x \in V(A) \colon V(\alpha_g)(x) = x, \forall g \in G\},$$
  
$$K_*(A \rtimes_{\alpha} G) \cong \{x \in K_*(A) \colon K_*(\alpha_g)(x) = x, \forall g \in G\}.$$

*Proof.* Recall that if  $\alpha$  has the Rokhlin property, then the fixed point algebra  $A^{\alpha}$  and the crossed product  $A \rtimes_{\alpha} G$  are Morita equivalent, and hence have isomorphic K-theory and Murray-von Neumann semigroup. The isomorphisms for  $V(A \rtimes_{\alpha} G)$  and  $K_0(A \rtimes_{\alpha} G)$  then follow from Theorem 4.2 above.

Denote  $B = A \otimes C(S^1)$  and give B the diagonal action  $\beta = \alpha \otimes \mathrm{id}_{C(S^1)}$  of G. Note that B is stably finite and has an approximate identity consisting of projections, and that  $\beta$  has the Rokhlin property by part (i) of Proposition 2.1. Moreover, there is a natural isomorphism  $B \rtimes_{\beta} G \cong (A \rtimes_{\alpha} G) \otimes C(S^1)$ . Applying the Künneth formula in the first step, together with the conclusion of this proposition for  $K_0$  (which was shown to hold in the paragraph above) in the second step, and again the Künneth formula in the fourth step, we obtain

$$\{x \in \mathcal{K}_*(A) \colon \mathcal{K}_*(\alpha_g)(x) = x, \ \forall \ g \in G\} \cong \{x \in \mathcal{K}_0(B) \colon \mathcal{K}_0(\beta_g)(x) = x, \ \forall \ g \in G\}$$
$$\cong \mathcal{K}_0(B \rtimes_{\beta} G)$$
$$\cong \mathcal{K}_0((A \rtimes_{\alpha} G) \otimes C(S^1))$$
$$\cong \mathcal{K}_*(A \rtimes_{\alpha} G),$$

as desired.

# 5. Equivariant UHF-absorption

In this section, we study absorption of UHF-algebras in relation to the Rokhlin property. We show that for a certain class of C\*-algebras, absorption of a UHF-algebra of infinite type is equivalent to existence of an action with the Rokhlin property that is pointwise approximately inner. (The cardinality of the group is related to the type of the UHF-algebra.) Moreover, in this case, not only the C\*-algebra absorbs the corresponding UHF-algebra, but also the action in question absorbs the model action constructed in Example 2.1. Thus, Rokhlin actions allow us to prove that certain algebras are equivariantly UHF-absorbing.

5.1. Unique n-divisibility. The goal of this section is to show that for certain C\*-algebras, absorption of the UHF-algebra of type  $n^{\infty}$  is equivalent to its Cuntz semigroup being n-divisible. Along the way, we show that for a C\*-algebra A, the Cuntz semigroups of A and of  $A \otimes M_{n^{\infty}}$  are isomorphic if and only if Cu(A) is uniquely n-divisible.

We point out that some of the results of this section, particularly Theorem 5.1, were independently obtained in the recent preprint [1], as applications of their theory of tensor products of Cuntz semigroups. On the other hand, the proofs we give here are direct and elementary. Additionally, our techniques also apply to other functors, for instance the functor Cu<sup>~</sup>.

We begin defining the main notion of this section. Recall that if S and T are ordered semigroup and  $\varphi \colon S \to T$  is a semigroup homomorphism, we say that  $\varphi$  is an order embedding if  $\varphi(s) \leq \varphi(s')$  implies  $s \leq s'$  for all  $s, s' \in S$ . A semigroup isomorphism is called an order preserving semigroup isomorphism if it is an order embedding.

**Definition 5.1.** Let S be an ordered semigroup and let n be a positive integer.

- (i) We say that S is n-divisible, if for every x in S there exists y in S such that x = ny.
- (ii) We say that G is uniquely n-divisible, if multiplication by n on S is an order preserving semigroup isomorphism.

Recall that the category **Cu** is closed under sequential inductive limits.

**Lemma 5.1.** Let  $n \in \mathbb{N}$  and let S be a semigroup in the category  $\mathbf{Cu}$ . Denote by  $\rho: S \to S$  the map given by  $\rho(s) = ns$  for all  $s \in S$ . Let T be the semigroup in  $\mathbf{Cu}$  obtained as the inductive limit of the sequence

$$S \xrightarrow{\rho} S \xrightarrow{\rho} S \xrightarrow{\rho} \cdots$$

Then T is uniquely n-divisible.

*Proof.* Let S and T be as in the statement. To avoid any confusion with the notation, we will denote the map between the k-th and (k+1)-st copies of S by  $\rho_k$ , so we write T as the direct limit

$$S \xrightarrow{\rho_1} S \xrightarrow{\rho_2} S \xrightarrow{\rho_3} \cdots \longrightarrow T$$

For  $k, m \in \mathbb{N}$  with m > k, we let  $\rho_{k,m} \colon S \to S$  denote the composition  $\rho_{m-1} \circ \rho_{m-2} \circ \cdots \circ \rho_k$ , and we let  $\rho_{k,\infty} \colon S \to T$  denote the canonical map from the k-th copy of S to T.

Let  $s, t \in T$  satisfy  $ns \leq nt$ . By part (i) of Proposition 2.2, there exist sequences  $(s_k)_{k \in \mathbb{N}}$  and  $(t_k)_{k \in \mathbb{N}}$  in S such that

$$\rho_k(s_k) \ll s_{k+1} \text{ for all } k \in \mathbb{N} \quad \text{and} \quad s = \sup_{k \in \mathbb{N}} \rho_{k,\infty}(s_k)$$

$$\rho_k(t_k) \ll t_{k+1} \text{ for all } k \in \mathbb{N} \quad \text{and} \quad t = \sup_{k \in \mathbb{N}} \rho_{k,\infty}(t_k).$$

It follows that  $\rho_{k,\infty}(s_k) \ll \rho_{k+1,\infty}(s_{k+1})$  and  $\rho_{k,\infty}(t_k) \ll \rho_{k+1,\infty}(t_{k+1})$  for all  $k \in \mathbb{N}$ .

Let  $k \geq 2$  be fixed. Since

$$\rho_{k,\infty}(ns_k) \ll ns \le nt = \sup_{k \in \mathbb{N}} \rho_{k,\infty}(nt_k),$$

there exists  $l \in \mathbb{N}$  such that  $\rho_{k,\infty}(ns_k) \leq \rho_{l,\infty}(nt_l)$ . Use part (ii) of Proposition 2.2 and  $\rho_{j-1}(s_{j-1}) \ll s_j$  for all  $j \in \mathbb{N}$ , to choose  $m \geq k, l$  such that  $\rho_{k-1,m}(ns_{k-1}) \leq \rho_{l,m}(nt_l)$ . Therefore,

$$\rho_{k-1,\infty}(s_{k-1}) = \rho_{m+1,\infty}(\rho_{m+1}(\rho_{k-1,m}(s_{k-1}))) 
= \rho_{m+1,\infty}(n\rho_{k-1,m}(s_{k-1})) 
= \rho_{m+1,\infty}(\rho_{k-1,m}(ns_{k-1})) 
\leq \rho_{m+1,\infty}(\rho_{l,m}(nt_{l})) 
= \rho_{m+1,\infty}(n\rho_{l,m}(t_{l})) 
= \rho_{m+1,\infty}(\rho_{m+1}(\rho_{l,m}(t_{l}))) 
= \rho_{l,\infty}(t_{l}) 
\leq t,$$

this is,  $\rho_{k-1,\infty}(s_{k-1}) \leq t$ . Since this holds for all  $k \geq 2$ , we conclude that

$$s = \sup_{k>2} \rho_{k-1,\infty}(s_{k-1}) \le t.$$

We have shown that  $ns \leq nt$  in T implies  $s \leq t$ . In other words, multiplication by n on T is an order embedding, as desired.

To conclude the proof, let us show that T is n-divisible. Fix  $t \in T$  and choose a sequence  $(t_k)_{k \in \mathbb{N}}$  in T satisfying

$$\rho_k(t_k) \ll t_{k+1} \text{ for all } k \in \mathbb{N} \quad \text{and} \quad t = \sup_{k \in \mathbb{N}} \rho_{k,\infty}(t_k).$$

For each  $k \in \mathbb{N}$  we have

$$\rho_{k,k+2}(t_k) = n^2 t_k = n \rho_{k+1,k+2}(t_k).$$

With  $x_k = \rho_{k+1,\infty}(t_k)$ , it follows that  $\rho_{k,\infty}(t_k) = nx_k$ . Since  $(\rho_{k,\infty}(t_k))_{k\in\mathbb{N}}$  is an increasing sequence in T, we deduce that  $(nx_k)_{k\in\mathbb{N}}$  is an increasing sequence in T as well. Since we have shown in the first part of this proof that multiplication by n on T is an order embedding, we conclude that  $(x_k)_{k\in\mathbb{N}}$  is also increasing. With x denoting the supremum of  $(x_k)_{k\in\mathbb{N}}$ , we have

$$t = \sup_{k \in \mathbb{N}} \rho_{k,\infty}(t_k) = \sup_{k \in \mathbb{N}} nx_k = n \sup_{k \in \mathbb{N}} x_k = nx,$$

which completes the proof.

We point out that the functor  $\mathrm{Cu}^{\sim}$  does not distinguish between \*-homomorphisms that are approximately unitarily equivalent (with unitaries taken in the unitization). On the other hand, the corresponding statement for approximate unitary equivalence with unitaries taken in the multiplier algebra is not known in general. The following proposition, of independent interest, implies that this is the case whenever the codomain has stable rank one. This will be used in the proof of Lemma 5.2 to deduce that certain \*-homomorphisms are trivial at the level of  $\mathrm{Cu}^{\sim}$ .

**Proposition 5.1.** Let A and B be C\*-algebras with B stable, and let  $\phi, \psi \colon A \to B$  be \*-homomorphisms. Suppose that  $\phi$  and  $\psi$  are approximately unitarily equivalent with unitaries taken in the multiplier algebra of B. Then  $\phi$  and  $\psi$  are approximately unitarily equivalent with unitaries taken in the unitization of B.

*Proof.* Denote by  $\iota \colon B \to \mathrm{M}(B)^{\infty}$  the canonical inclusion as constant sequences. We will identify B with a subalgebra of  $\mathrm{M}(B)$ , and suppress  $\iota$  from the notation. Hence we will denote the maps  $\iota \circ \phi, \iota \circ \psi \colon A \to \mathrm{M}(B)^{\infty}$  again by  $\phi$  and  $\psi$ , respectively.

Let  $F \subseteq A$  be a finite set. Then there exists a unitary  $u = \pi_{\mathrm{M}(B)}((u_n)_{n \in \mathbb{N}})$  in  $\mathrm{M}(B)^{\infty}$  such that  $\phi(a) = u\psi(a)u^*$  for all  $a \in F$ . Choose a sequence  $(s_n)_{n \in \mathbb{N}}$  of positive contractions in B such that

$$\lim_{n \to \infty} s_n \psi(a) = \lim_{n \to \infty} \psi(a) s_n = \psi(a)$$

for all  $a \in F$ . Let  $s = \pi_{\mathcal{M}(B)}((s_n)_{n \in \mathbb{N}})$  denote the image of  $(s_n)_{n \in \mathbb{N}}$  in  $B^{\infty} \subseteq \mathcal{M}(B)^{\infty}$ . Then

$$s\phi(a) = \phi(a)s = \phi(a)$$

for all  $a \in F$ . Since B is stable, we have  $B \subseteq \overline{\mathrm{GL}(\widetilde{B})}$  by [4, Lemma 4.3.2]. Hence, elements in B have approximate polar decompositions with unitaries taken in  $\widetilde{B}$ . This implies that there exists a sequence  $(v_n)_{n \in \mathbb{N}}$  of unitaries in  $\widetilde{B}$  such that  $\lim_{n \to \infty} \|u_n s_n - v_n s_n\| = 0$ . Let  $v = \pi_{\widetilde{B}}((v_n)_{n \in \mathbb{N}})$  denote the image of  $(v_n)_{n \in \mathbb{N}}$  in  $(\widetilde{B})^{\infty}$ . Then us = vs and

$$\phi(a) = u\psi(a)u^* = us\psi(a)su^* = vs\psi(a)sv^* = v\psi(a)v^*$$

for all  $a \in F$ . This implies that  $\lim_{n \to \infty} \|\phi(a) - v_n \psi(a) v_n^*\| = 0$  for all  $a \in F$ . Since  $v_n$  is a unitary in  $\widetilde{B}$  for all  $n \in \mathbb{N}$ , we conclude that  $\phi$  and  $\psi$  are approximately unitarily equivalent with unitaries taken in the unitization of B.

Let  $n, k \in \mathbb{N}$ . We let  $\left(f_{i,j}^{(n^k)}\right)_{i,j=0}^{n^k-1}$  denote the set of matrix units of  $\mathcal{M}_{n^k}(\mathbb{C})$ . Recall that if A and B are C\*-algebras and  $\phi, \psi \colon A \to B$  are \*-homomorphisms with orthogonal ranges, then  $\phi + \psi$  is also a \*-homomorphism and  $\mathcal{C}\mathcal{U}(\phi + \psi) = \mathcal{C}\mathcal{U}(\phi) + \mathcal{C}\mathcal{U}(\psi)$ .

**Lemma 5.2.** Let A be a C\*-algebra and let  $n, k \in \mathbb{N}$ . Let  $\iota_k : A \to \mathrm{M}_{n^k}(A)$  be the map given by  $\iota_k(a) = a \otimes f_{0,0}^{(n^k)}$  for all  $a \in A$ , and let  $j_k : \mathrm{M}_{n^k}(A) \to \mathrm{M}_{n^{k+1}}(A)$  be the map given by  $j_k(a) = a \otimes 1_n$  for all  $a \in \mathrm{M}_{n^k}(A)$ . Then the map

$$\operatorname{Cu}(\iota_{k+1})^{-1} \circ \operatorname{Cu}(j_k) \circ \operatorname{Cu}(\iota_k) \colon \operatorname{Cu}(A) \to \operatorname{Cu}(A),$$

is the map multiplication by n.

*Proof.* Since Cu is invariant under stabilization, we may assume that the algebra A is stable.

Fix k in  $\mathbb{N}$ . For each  $0 \le i \le n-1$ , let  $j_{k,i} : \mathcal{M}_{n^k}(A) \to \mathcal{M}_{n^{k+1}(A)}$  be the map defined by  $j_{k,i}(b) = b \otimes f_{i,i}^{(n)}$  for all  $b \in \mathcal{M}_{n^k}(A)$ . Then the maps  $(j_{k,i})_{i=0}^{n-1}$  have orthogonal ranges and  $j_k = \sum_{i=1}^{n-1} j_{k,i}$ . By the comments before this lemma, we have

$$Cu(j_k) = \sum_{i=0}^{n-1} Cu(j_{k,i}).$$

Since  $f_{i,i}^{(n)}$  and  $f_{\ell,\ell}^{(n)}$  are unitarily equivalent in  $M_n(\mathbb{C})$  for all  $i,\ell=0,\ldots,n-1$ , we conclude that the maps  $j_{k,i}$  and  $j_{k,\ell}$  are unitarily equivalent with unitaries in the multiplier algebra of  $M_{n^{k+1}}(A)$ . By Proposition 5.1, this implies that the maps  $j_{k,i}$  and  $j_{k,\ell}$  are approximately unitarily equivalent (with unitaries taken in the unitization of  $M_{n^{k+1}}(A)$ ). Since approximate unitary equivalent maps yield the same morphism at the level of the Cuntz semigroup, we deduce that  $Cu(j_{k,i}) = Cu(j_{k,\ell})$  for all  $i,\ell=0,\ldots,n-1$ . Given a positive element a in  $A \otimes \mathcal{K}$ , we have

$$(\operatorname{Cu}(\iota_{k+1})^{-1} \circ \operatorname{Cu}(j_{k}) \circ \operatorname{Cu}(\iota_{k}))([a]) = (\operatorname{Cu}(\iota_{k+1})^{-1} \circ \operatorname{Cu}(j_{k})) \left( \left[ a \otimes f_{0,0}^{(n^{k})} \right] \right)$$

$$= \operatorname{Cu}(\iota_{k+1})^{-1} \left( \sum_{i=0}^{n-1} \operatorname{Cu}(j_{k,i}) \left( \left[ a \otimes f_{0,0}^{(n^{k})} \right] \right) \right)$$

$$= \operatorname{Cu}(\iota_{k+1})^{-1} \left( n \operatorname{Cu}(j_{k,0}) \left( \left[ a \otimes f_{0,0}^{(n^{k})} \right] \right) \right)$$

$$= n \operatorname{Cu}(\iota_{k+1})^{-1} \left( \left[ a \otimes f_{0,0}^{(n^{k})} \otimes f_{0,0}^{(n)} \right] \right)$$

$$= n \operatorname{Cu}(\iota_{k+1})^{-1} \left( \left[ a \otimes f_{0,0}^{(n^{k+1})} \right] \right)$$

$$= n \operatorname{In}[a]$$

We conclude that  $Cu(\iota_{k+1})^{-1} \circ Cu(j_k) \circ Cu(\iota_k)$  is the map multiplication by n.  $\square$ 

**Theorem 5.1.** Let A be a C\*-algebra and let  $n \in \mathbb{N}$  with  $n \geq 2$ . Then Cu(A) is uniquely n-divisible if and only if  $Cu(A) \cong Cu(A \otimes M_{n^{\infty}})$  as order semigroups.

*Proof.* Assume that there exists an isomorphism  $\operatorname{Cu}(A) \cong \operatorname{Cu}(A \otimes \operatorname{M}_{n^{\infty}})$  as ordered semigroups. Using the inductive limit decomposition  $\operatorname{M}_{n^{\infty}} = \varinjlim \operatorname{M}_{n^k}$  with connecting maps  $j_k \colon \operatorname{M}_{n^{k-1}}(A) \to \operatorname{M}_{n^k}(A)$  is given by  $j_k(a) = a \otimes 1_n$  for all  $a \in \operatorname{M}_{n^{k-1}}(A)$ , we can write  $A \otimes \operatorname{M}_{n^{\infty}}$  as the inductive limit

$$A \xrightarrow{j_1} M_n(A) \xrightarrow{j_2} M_{n^2}(A) \xrightarrow{j_3} \cdots \longrightarrow A \otimes M_{n^{\infty}}.$$

By continuity of the functor Cu (see [9, Theorem 2]), the semigroup  $Cu(A \otimes M_{n^{\infty}})$  is isomorphic to the inductive limit in the category Cu of the sequence

(5.1) 
$$\operatorname{Cu}(A) \xrightarrow{\operatorname{Cu}(j_0)} \operatorname{Cu}(\operatorname{M}_n(A)) \xrightarrow{\operatorname{Cu}(j_1)} \operatorname{Cu}(\operatorname{M}_{n^2}(A)) \xrightarrow{\operatorname{Cu}(j_2)} \cdots$$

By [9, Appendix], the inclusion  $i_k \colon A \to \mathrm{M}_{n^k}(A)$  from A into the upper left corner of  $\mathrm{M}_{n^k}(A)$  induces an isomorphism between the Cuntz semigroup of A and that of  $\mathrm{M}_{n^k}(A)$ . For  $k \in \mathbb{N}$ , let  $\varphi_k \colon \mathrm{Cu}(A) \to \mathrm{Cu}(A)$  be given by

$$\varphi_k = \operatorname{Cu}(i_{k+1})^{-1} \circ \operatorname{Cu}(j_k) \circ \operatorname{Cu}(i_k).$$

The sequence (5.1) implies that  $Cu(A \otimes M_{n^{\infty}})$  is the inductive limit of the sequence

(5.2) 
$$\operatorname{Cu}(A) \xrightarrow{\varphi_1} \operatorname{Cu}(A) \xrightarrow{\varphi_2} \operatorname{Cu}(A) \xrightarrow{\varphi_3} \cdots$$

By Lemma 5.2, each  $\varphi_k$  is the map multiplication by n. It follows from Lemma 5.1 that  $Cu(A \otimes M_{n^{\infty}})$  is uniquely n-divisible. This shows the "if" implication.

Conversely, assume that  $\operatorname{Cu}(A)$  is uniquely n-divisible and adopt the notation used above. The map  $\varphi_k$  is the map multiplication by n on  $\operatorname{Cu}(A)$  by Lemma 5.2, so it is an order-isomorphism by assumption. By the inductive limit expression of  $\operatorname{Cu}(A \otimes \operatorname{M}_{n^{\infty}})$  in (5.2), we conclude that  $\operatorname{Cu}(A) \cong \operatorname{Cu}(A \otimes \operatorname{M}_{n^{\infty}})$ , as desired.  $\square$ 

**Remark 5.1.** Let  $\mathcal{Q}$  denote the universal UHF-algebra. Using the same ideas as in the proof of the previous theorem, one can show that  $Cu(A) \cong Cu(A \otimes \mathcal{Q})$  if and only if Cu(A) is uniquely p-divisible for every prime number p.

We now turn to direct limits of one-dimensional NCCW-complexes. The following lemma will allow us to reduce to the case where the algebra itself is a one-dimensional NCCW-complex when proving that multiplication by n is an order embedding at the level of the Cuntz semigroup.

**Lemma 5.3.** Let  $(S_k, \rho_k)_{k \in \mathbb{N}}$  be an inductive system in the category  $\mathbf{Cu}$ , and let  $S = \varinjlim(S_k, \rho_k)$  be its inductive limit in  $\mathbf{Cu}$ . Let  $n \in \mathbb{N}$ . If multiplication by n on  $S_k$  is an order embedding for all k in  $\mathbb{N}$ , then the same holds for S.

*Proof.* For  $l \geq k$ , denote by  $\rho_{k,l} \colon S_k \to S_{l+1}$  the composition  $\rho_{k,l} = \rho_l \circ \cdots \circ \rho_k$ , and denote by  $\rho_{k,\infty} \colon S_k \to S$  the canonical map as in the definition of the inductive limit. Let  $s,t \in S$  satisfy  $ns \leq nt$ . By part (i) of Proposition 2.2, for each  $k \in \mathbb{N}$  there exist  $s_k, t_k \in S_k$  such that

$$\rho_k(s_k) \ll s_{k+1} \quad \text{and} \quad s = \sup_{k \in \mathbb{N}} \rho_{k,\infty}(s_k),$$

$$\rho_k(t_k) \ll t_{k+1} \quad \text{and} \quad t = \sup_{k \in \mathbb{N}} \rho_{k,\infty}(t_k).$$

Note in particular that  $\rho_{k,\infty}(s_k) \ll \rho_{k+1,\infty}(s_{k+1})$  and  $\rho_{k,\infty}(t_k) \ll \rho_{k+1,\infty}(t_{k+1})$  for all  $k \in \mathbb{N}$ .

Fix  $k \in \mathbb{N}$ . Then

$$\rho_{k,\infty}(ns_k) \ll \rho_{k+1,\infty}(ns_{k+1}) \ll \sup_{j \in \mathbb{N}} \rho_{j,\infty}(nt_j).$$

By the definition of the compact containment relation, there exists  $j \in \mathbb{N}$  such that

$$\rho_{k,\infty}(ns_k) \ll \rho_{k+1,\infty}(ns_{k+1}) \le \rho_{j,\infty}(nt_j).$$

By part (ii) of Proposition 2.2, there exists  $l \in \mathbb{N}$  such that

$$n\rho_{k,l}(s_k) = \rho_{k,l}(ns_k) \le \rho_{j,l}(nt_j) = n\rho_{j,l}(t_j).$$

Using that multiplication by n on  $S_k$  is an order embedding, we obtain  $\rho_{k,l}(s_k) \le \rho_{j,l}(t_j)$ . In particular,

$$\rho_{k,\infty}(s_k) \le \rho_{j,\infty}(t_j) \le t.$$

Since 
$$k \in \mathbb{N}$$
 is arbitrary and  $s = \sup_{k \in \mathbb{N}} \rho_{k,\infty}(s_k)$ , we conclude that  $s \leq t$ .

**Proposition 5.2.** Let A be a C\*-algebra that can be written as the inductive limit of 1-dimensional NCCW-complexes. Then the endomorphism of Cu(A) given by multiplication by n is an order embedding.

*Proof.* By Lemma 5.3, it is sufficient to show that the proposition holds when A is a 1-dimensional NCCW-complex. Let  $E = \bigoplus_{j=1}^r \mathrm{M}_{k_j}(\mathbb{C})$  and  $F = \bigoplus_{j=1}^s \mathrm{M}_{l_j}(\mathbb{C})$  be finite dimensional C\*-algebras, and for  $x \in [0,1]$  denote by  $\mathrm{ev}_x \colon \mathrm{C}([0,1],F) \to F$  the evaluation map at the point x. Assume that A is given by the pullback decomposition

$$A \xrightarrow{\qquad} E$$

$$\downarrow$$

$$C([0,1],F) \xrightarrow{\text{ev}_0 \oplus \text{ev}_1} F \oplus F,$$

By [2, Example 4.2], the Cuntz semigroup of A is order-isomorphic to a subsemigroup of

$$\operatorname{Lsc}\left([0,1],\overline{\mathbb{Z}_{+}}^{s}\right)\oplus(\overline{\mathbb{Z}_{+}})^{r}.$$

Since multiplication by n on this semigroup is an order embedding, the same holds for any subsemigroup; in particular, it hold for Cu(A).

Corollary 5.1. Let A be a C\*-algebra in one of the following classes: unital algebras that can written as inductive limits 1-dimensional NCCW-complexes with trivial  $K_1$ -groups; simple algebras with trivial  $K_0$ -groups that can be written as inductive limits 1-dimensional NCCW-complexes with trivial  $K_1$ -groups; and algebras that can written as inductive limits of punctured-tree algebras. Let  $n \in \mathbb{N}$ . Suppose that the map multiplication by n on Cu(A) is an order-isomorphism. Then  $A \cong A \otimes M_{n^{\infty}}$ .

*Proof.* By Proposition 5.2 together with the assumptions in the statement, it follows that the map multiplication by n on Cu(A) is an order-isomorphism. Hence, it is an isomorphism in the category Cu. By part (ii) of Theorem 5.1, there is an isomorphism  $Cu(A) \cong Cu(A \otimes M_{n^{\infty}})$  in Cu.

The same arguments used at the end of the proof of Theorem 3.2 show that the classes of C\*-algebras in the statement can be classified up to stable isomorphism by their Cuntz semigroup. Therefore, we deduce that

$$A \otimes \mathcal{K} \cong A \otimes M_{n^{\infty}} \otimes \mathcal{K}$$
.

Using that  $M_{n^{\infty}}$ -absorption is inherited by hereditary C\*-subalgebras ([34, Corollary 3.1]), we conclude that  $A \cong A \otimes M_{n^{\infty}}$ .

5.2. **Absorption of the model action.** We now proceed to obtain an equivariant UHF-absorption result (compare with [21, Theorems 3.4 and 3.5]).

**Theorem 5.2.** Let G be a finite group and let A be a C\*-algebra belonging to one of the classes of C\*-algebras described in Corollary 5.1. Then the following statements are equivalent:

- (i) The C\*-algebra A absorbs the UHF-algebra  $M_{|G|^{\infty}}$ .
- (ii) There is an action  $\alpha \colon G \to \operatorname{Aut}(A)$  with the Rokhlin property such that  $\operatorname{Cu}(\alpha_q) = \operatorname{id}_{\operatorname{Cu}(A)}$  for all  $g \in G$ .
- (iii) There are actions of G on A with the Rokhlin property, and for any action  $\beta \colon G \to \operatorname{Aut}(A)$  with the Rokhlin property and for any action  $\delta \colon G \to \operatorname{Aut}(A)$  such that  $\operatorname{Cu}(\beta_g) = \operatorname{Cu}(\delta_g)$  for all  $g \in G$ , one has

$$(A, \beta) \cong (A \otimes \mathcal{M}_{|G|^{\infty}}, \delta \otimes \mu^G),$$

that is, there is an isomorphism  $\varphi \colon A \to A \otimes \mathrm{M}_{|G|^{\infty}}$  such that

$$\varphi \circ \beta_g = (\delta \otimes \mu^G)_g \circ \varphi$$

for all g in G.

In particular, if the above statements hold for A, and if  $\alpha \colon G \to \operatorname{Aut}(A)$  is an action with the Rokhlin property such that  $\operatorname{Cu}(\alpha_g) = \operatorname{id}_{\operatorname{Cu}(A)}$  for all  $g \in G$ , then  $(A, \alpha) \cong (A \otimes \operatorname{M}_{|G|^{\infty}}, \operatorname{id}_A \otimes \mu^G)$ .

*Proof.* (i) implies (ii). Fix an isomorphism  $\varphi \colon A \to A \otimes \mathrm{M}_{|G|^{\infty}}$  and define an action  $\alpha \colon G \to \mathrm{Aut}(A)$  by  $\alpha_g = \varphi^{-1} \circ (\mathrm{id}_A \otimes \mu^G)_g \circ \varphi$  for all g in G. For a fixed group element g in G, the automorphism  $\mathrm{id}_A \otimes \mu_g^G$  of  $A \otimes \mathrm{M}_{|G|^{\infty}}$  is approximately inner, and hence so is  $\alpha_g$ . It follows that  $\mathrm{Cu}(\alpha_g) = \mathrm{id}_{\mathrm{Cu}(A)}$  for all g in G, as desired.

- (ii) implies (i). Assume that there is an action  $\alpha \colon G \to \operatorname{Aut}(A)$  with the Rokhlin property such that  $\operatorname{Cu}(\alpha_g) = \operatorname{id}_{\operatorname{Cu}(A)}$  for all  $g \in G$ . Then  $A \cong A \otimes \operatorname{M}_{|G|^{\infty}}$  by Proposition 5.2, Corollary 5.1 and Corollary 4.2.
- (i) and (ii) imply (iii). Let  $\beta$  and  $\delta$  be actions of G on A as in the statement. Since  $\mathrm{M}_{|G|^{\infty}}$  is a strongly self-absorbing algebra, there exists an isomorphism  $\phi\colon A\to A\otimes \mathrm{M}_{|G|^{\infty}}$  that is approximately unitarily equivalent to the map  $\iota\colon A\to A\otimes \mathrm{M}_{|G|^{\infty}}$  given by  $\iota(a)=a\otimes 1_{\mathrm{M}_{|G|^{\infty}}}$  for a in A. In particular, one has  $\mathrm{Cu}(\phi)=\mathrm{Cu}(\iota)$ . Hence, for every  $a\in (A\otimes \mathcal{K})_+$  we have

$$(\mathrm{Cu}(\phi)\circ\mathrm{Cu}(\beta_g))([a])=\mathrm{Cu}(\iota)[(\beta_g\otimes\mathrm{id}_{\mathcal{K}})(a)]=\big[((\beta_g\otimes\mathrm{id}_{\mathcal{K}})(a))\otimes 1_{\mathrm{M}_{|G|^\infty}}\big]$$

and

$$(\operatorname{Cu}(\delta_g \otimes \mu^G) \circ \operatorname{Cu}(\phi))([a]) = \operatorname{Cu}(\delta_g \otimes \mu^G) ([a \otimes 1_{\operatorname{M}_{|G|} \infty}])$$
$$= [((\delta_g \otimes \operatorname{id}_{\mathcal{K}})(a)) \otimes 1_{\operatorname{M}_{|G|} \infty}].$$

Since  $Cu(\beta_q) = Cu(\delta_q)$  for all  $g \in G$ , it follows that

$$Cu(\phi) \circ Cu(\beta_a) = Cu(\delta_a \otimes \mu_a) \circ Cu(\phi)$$

for all g in G. In other words, the **Cu**-isomorphism  $\operatorname{Cu}(\phi)\colon\operatorname{Cu}(A)\to\operatorname{Cu}(A\otimes \operatorname{M}_{|G|^{\infty}})$  is equivariant. Therefore, by the unital case of Theorem 3.6, there exists an isomorphism  $\varphi\colon A\to A\otimes \operatorname{M}_{|G|^{\infty}}$  such that  $\varphi\circ\beta_g=(\delta\otimes\mu^G)_g\circ\varphi$  for all  $g\in G$ , showing that  $\beta$  and  $\delta\otimes\mu^G$  are conjugate.

(iii) implies (i). The existence of an action  $\beta \colon G \to \operatorname{Aut}(A)$  with the Rokhlin property implies the existence of an isomorphism  $A \to A \otimes \operatorname{M}_{|G|^{\infty}}$ , simply by taking  $\delta = \beta$ .

The last claim follows immediately from (iii).

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